

TITLE*RHODOCOCCUS* CLONING AND EXPRESSION VECTORS

This application claims the benefit of U.S. Provisional Application 60/254,868 filed December 12, 2000.

FIELD OF THE INVENTION

The invention relates to the field of microbiology. More specifically, vectors are provided for the cloning and expression of genes in *Rhodococcus* species and like organisms.

BACKGROUND OF THE INVENTION

Gram-positive bacteria belonging to the genus *Rhodococcus*, some of which were formerly classified as *Nocardia*, *Mycobacterium*, *Gordona*, or *Jensenia* spp., or as members of the "rhodochrous" complex, are widely distributed in the environment. Members of the genus *Rhodococcus* exhibit a wide range of metabolic activities, including antibiotic and amino acid production, biosurfactant production, and biodegradation and biotransformation of a large variety of organic and xenobiotic compounds (see Vogt Singer and Finnerty, 1988, *J. Bacteriol.*, 170:638-645; Quan and Dabbs, 1993, *Plasmid*, 29: 74-79; Warhurst and Fewson, 1994, *Crit. Rev. Biotechnol.*, 14:29-73). Unfortunately, few appropriate genetic tools exist to investigate and exploit these metabolic activities in *Rhodococcus* and like organisms (see Finnerty, 1992, *Annu. Rev. Microbiol.*, 46:193-218).

Recently, several *Rhodococcus* plasmids and *Rhodococcus*-*Escherichia coli* shuttle vectors have been described. These plasmids and vectors can be divided into five different derivation groups:

- plasmids derived from *Rhodococcus fascians* (Desomer et al., 1988, *J. Bacteriol.*, 170:2401-2405; and Desomer et al., 1990, *Appl. Environ. Microbiol.*, 56:2818-2815);
- plasmids derived from *Rhodococcus erythropolis* (JP 10248578; EP 757101; JP 09028379; US Patent 5,705,386; Dabbs et al., 1990, *Plasmid*, 23:242-247; Quan and Dabbs, 1993, *Plasmid*, 29:74-79; Dabbs et al., 1995, *Biotekhnologiya*, 7-8:129-135; De Mot, et al., 1997, *Microbiol.*, 143:3137-3147);
- plasmids derived from *Rhodococcus rhodochrous* (EP 482426; US Patent 5,246,857; JP 1990-270377; JP 07255484; JP 08038184; US Patent 5,776,771; EP 704530; JP 08056669; Hashimoto et al., 1992, *J. Gen. Microbiol.*, 138:1003-1010; Bigey et al., 1995, *Gene*, 154:77-79; Kulakov et al., 1997, *Plasmid*, 38:61-69);
- plasmids derived from *Rhodococcus equi* (US Patent 4,920,054; Zheng et al., 1997, *Plasmid*,

38:180-187) and e) plasmids derived from a *Rhodococcus* sp. (WO 89/07151; US Patent 4,952,500; Vogt Singer et al., 1988, *J. Bacteriol.*, 170:638-645; Shao et al., 1995, *Lett. Appl. Microbiol.*, 21:261-266; Duran, 1998, *J. Basic Microbiol.*, 38:101-106; Denis-Larose et al., 1998, *Appl. Environ. Microbiol.*, 64:4363-4367).

While these prior studies describe several plasmids and shuttle vectors, the relative number of commercially available tools that exist for the genetic manipulation of *Rhodococcus* and like organisms remains limited. One of the difficulties in developing a suitable expression vector for *Rhodococcus* is the limited number of sequences encoding replicase or replication proteins (rep) which allow for plasmid replication in this host. Knowledge of such sequences is needed to design a useful expression or shuttle vector. Although replication sequences are known for other shuttle vectors that function in *Rhodococcus* (see for example Denis-Larose et al., 1998, *Appl. Environ. Microbiol.*, 64:4363-4367); Billington, et al., *J. Bacteriol.* 180 (12), 3233-3236 (1998); Dasen, G.H. GI:3212128; and Mendes, et al, GI:6523480) they are rare.

Similarly, another concern in the design of shuttle expression and shuttle vectors in *Rhodococcus* is plasmid stability. The stability of any plasmid is often variably and maintaining plasmid stability in a particular host usually requires the antibiotic selection, which is neither an economical nor a safe practice in the industrial scale production. Little is known about genes or proteins that function to increase or maintain plasmid stability without antibiotic selection.

The problem to be solved, therefore is to provide additional useful plasmid and shuttle vectors for use in genetically engineering *Rhodococcus* and like organisms. Such a vector will need to have a robust replication protein and must be able to be stably maintained in the host.

Applicants have solved the stated problem by isolating and characterizing a novel cryptic plasmid, pAN12, from *Rhodococcus erythropolis* strain AN12 and constructing a novel *Escherichia coli*-*Rhodococcus* shuttle vector using pAN12. Applicants' invention provides important tools for use in genetically engineering *Rhodococcus* species (sp.) and like organisms. The instant vectors contain a replication sequence that is required for replication of the plasmid and may be used to isolate or design other suitable replication sequences for plasmid

replication. Additionally, the instant plasmids contain a sequence having homology to a cell division protein which is required for plasmid stability. Applicants' shuttle vectors are particularly desirable because they are able to coexist with other shuttle vectors in the same *Rhodococcus* host cell.

- 5 Therefore, Applicants' vectors may also be used in combination with other compatible plasmids for co-expression in a single host cell.

#### SUMMARY OF THE INVENTION

The present invention provides novel nucleic acids and vectors comprising these nucleic acids for the cloning and expression of foreign  
10 genes in *Rhodococcus* sp. In particular, the present invention provides a novel plasmid isolated from a proprietary strain AN12 of *Rhodococcus erythropolis* and a novel shuttle vector prepared from this plasmid that can be replicated in both *Escherichia coli* and members of the *Rhodococcus* genus. These novel vectors can be used to clone and genetically  
15 engineer a host bacterial cell to express a polypeptide of protein of interest. In addition, Applicants have identified and isolated several unique coding regions on the plasmid that have general utility for plasmid replication and stability. The first of these is a nucleic acid encoding a unique replication protein, rep, within the novel plasmid. The second  
20 sequence encodes a protein having significant homology to a cell division protein and has been determined to play a role in maintaining plasmid stability. Both the replication protein and the stability protein nucleotide sequences may be used in a variety of cloning and expression vectors and particularly in shuttle vectors for the expression of homologous and  
25 heterologous genes in *Rhodococcus* sp. and like organisms.

Thus, the present invention relates to an isolated nucleic acid molecule encoding a replication protein selected from the group consisting of: (a) an isolated nucleic acid encoding the amino acid  
sequence as set forth in SEQ ID NO:2;(b) an isolated nucleic acid that  
30 hybridizes with (a) under the following hybridization conditions: 0.1X SSC, 0.1% SDS, 65°C and washed with 2X SSC, 0.1% SDS followed by 0.1X SSC, 0.1% SDS; or an isolated nucleic acid that is complementary to (a), or (b).

Similarly the present invention provides an isolated nucleic acid  
35 molecule encoding a plasmid stability protein selected from the group consisting of: (a) an isolated nucleic acid encoding the amino acid sequence as set forth in SEQ ID NO:4; (b) an isolated nucleic acid that

hybridizes with (a) under the following hybridization conditions: 0.1X SSC, 0.1% SDS, 65°C and washed with 2X SSC, 0.1% SDS followed by 0.1X SSC, 0.1% SDS; or an isolated nucleic acid that is complementary to (a) or (b).

- 5           The invention additionally provides polypeptides encoded by the present nucleotide sequences and transformed hosts containing the same.

- Methods for the isolation of homologs of the present genes are also provided. In one embodiment the invention provides a method of  
10   obtaining a nucleic acid molecule encoding an replication protein or stability protein comprising: (a) probing a genomic library with a nucleic acid molecule of the present invention; (b) identifying a DNA clone that hybridizes with the nucleic acid molecule of the present invention; and  
15   (c) sequencing the genomic fragment that comprises the clone identified in step (b), wherein the sequenced genomic fragment encodes a replication protein or a stability protein..

- In another embodiment the invention provides a method of obtaining a nucleic acid molecule encoding a replication protein or a stability protein comprising: (a) synthesizing at least one oligonucleotide  
20   primer corresponding to a portion of the sequences of the present invention; and (b) amplifying an insert present in a cloning vector using the oligonucleotide primer of step (a); wherein the amplified insert encodes a portion of an amino acid sequence encoding a replication protein or a stability protein.

- 25           In a preferred embodiment the invention provides plasmids comprising the genes encoding the present replication and stability proteins and optionally selectable markers. Preferred hosts for plasmid replication for gene expression are the *Actinomycetales* bacterial family and specifically the *Rhodococcus* genus.

- 30           In another preferred embodiment the invention provides a method for the expression of a nucleic acid in an *Actinomycetales* bacteria comprising: a) providing a plasmid comprising: (i) the nucleic acids of the present invention encoding the rep and stability proteins; (ii) at least one nucleic acid encoding a selectable marker; and (iii) at least one promoter  
35   operably linked to a nucleic acid fragment to be expressed;  
b) transforming an *Actinomycetales* bacteria with the plasmid of (a); and  
c) culturing the transformed *Actinomycetales* bacteria of (b) for a length of

time and under conditions whereby the nucleic acid fragment is expressed.

In an alternate embodiment the invention provides a method for the expression of a nucleic acid in an *Actinomycetales* bacteria comprising:

- 5 a) providing a first plasmid comprising: (i) the nucleic acid of the present invention encoding a rep protein; (ii) at least one nucleic acid encoding a selectable marker; and (iii) at least one promoter operably linked to a nucleic acid fragment to be expressed; b) providing at least one other plasmid in a different incompatibility group as the first plasmid, wherein  
10 the at least one other plasmid comprises: (ii) at least one nucleic acid encoding a selectable marker; and (iii) at least one promoter operably linked to a nucleic acid fragment to be expressed; c) transforming an *Actinomycetales* bacteria with the plasmids of (a) and (b); and d) culturing the transformed *Actinomycetales* bacteria of (c) for a length of time and  
15 under conditions whereby the nucleic acid fragment is expressed.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a restriction endonuclease map of pAN12, a cryptic plasmid from *Rhodococcus erythropolis* strain AN12.

- 20 Figure 2 is a restriction endonuclease map of pRhBR17, an *Escherichia coli*-*Rhodococcus* shuttle vector.

Figure 3 is a restriction endonuclease map of pRhBR171, an *Escherichia coli*-*Rhodococcus* shuttle vector.

- 25 Figure 4A is an alignment of amino acid sequences of various replication proteins of pIJ101/pJV1 family of rolling circle replication plasmids.

Figure 4B is an alignment of nucleotide sequences for various origins of replication of the rolling circle replication plasmids.

#### SEQUENCE DESCRIPTIONS

- 30 The invention can be more fully understood from the following detailed description and the accompanying sequence descriptions which form a part of this application.

- Applicant(s) have provided 30 sequences in conformity with 37 C.F.R. 1.821-1.825 ("Requirements for Patent Applications Containing Nucleotide Sequences and/or Amino Acid Sequence Disclosures - the  
35 Sequence Rules") and consistent with World Intellectual Property Organization (WIPO) Standard ST.25 (1998) and the sequence listing requirements of the EPO and PCT (Rules 5.2 and 49.5(a-bis), and

Section 208 and Annex C of the Administrative Instructions). The symbols and format used for nucleotide and amino acid sequence data comply with the rules set forth in 37 C.F.R. §1.822.

Description	SEQ ID Nucleic acid	SEQ ID Peptide
Replications (Rep) protein isolated from <i>Rhodococcus</i> AN12	1	2
Plasmid stability protein isolated from <i>Rhodococcus</i> AN12	3	4
plasmid pAN12	5	
Plasmid pRHBR17	6	
Plasmid pRHBR171	7	
pAN12 origin of replication	8	
HK12 primer	9	
HK13 primer	10	
HK14 primer	11	
16S rRNA from <i>Rhodococcus</i> AN12	12	
M13 universal primer	13	
M13 reverse primer	14	
1.7kb(1) Fragment	15	
1.7(kb)2 Fragment	16	
4.4 kb Fragment	17	
the Primer N	18	
rep1 primer	19	
rep2 primer	20	
<i>Arcanobacterium</i> <i>pyrogenes</i> replication protein		21
<i>Streptomyces lividans</i> replication protein		22
<i>Streptomyces</i> <i>phaeochromogenes</i> replication protein		23
<i>Streptomyces</i> <i>nigrifaciens</i> replication protein		24
<i>Streptomyces lividans</i> Ori sequence	25	

Description	SEQ ID Nucleic acid	SEQ ID Peptide
<i>Streptomyces phaeochromogenes</i> Ori sequence	26	
<i>Streptomyces nigrifaciens</i> Ori sequence	27	

### DETAILED DESCRIPTION OF THE INVENTION

Applicants have isolated and characterized a novel cryptic plasmid, pAN12, from *Rhodococcus erythropolis* strain AN12 and constructed a novel *Escherichia coli*-*Rhodococcus* shuttle vector using pAN12. Applicants' invention provides important tools for use in genetically engineering *Rhodococcus* species and like organisms. In addition, Applicants have identified and isolated a nucleic acid encoding a unique replication protein, rep, from the novel plasmid. This replication protein encoding nucleic acid may be used in a variety of cloning and expression vectors and particularly in shuttle vectors for the expression of homologous and heterologous genes in *Rhodococcus* species (sp.) and like organisms. Similarly, Applicants have identified and characterized a sequence on the plasmid encoding a protein useful for maintaining plasmid stability. Applicants' shuttle vectors are particularly desirable because they are able to coexist with other shuttle vectors in the same *Rhodococcus* host cell. Therefore, Applicants' vectors may also be used in combination with other compatible plasmids for co-expression in a single host cell.

In another embodiment the invention provides a compact shuttle vector that has the ability to replicate both in *Rhodococcus* and *E. coli*, yet is small enough to transport large DNA.

In this disclosure, a number of terms and abbreviations are used. The following definitions are provided and should be helpful in understanding the scope and practice of the present invention.

In a specific embodiment, the term "about" or "approximately" means within 20%, preferably within 10%, and more preferably within 5% of a given value or range.

A "nucleic acid" is a polymeric compound comprised of covalently linked subunits called nucleotides. Nucleic acid includes polyribonucleic acid (RNA) and polydeoxyribonucleic acid (DNA), both of which may be

single-stranded or double-stranded. DNA includes cDNA, genomic DNA, synthetic DNA, and semi-synthetic DNA.

5 An "isolated nucleic acid molecule" or "isolated nucleic acid fragment" refers to the phosphate ester polymeric form of ribonucleosides (adenosine, guanosine, uridine or cytidine; "RNA molecules") or deoxyribonucleosides (deoxyadenosine, deoxyguanosine, deoxythymidine, or deoxycytidine; "DNA molecules"), or any phosphoester analogs thereof, such as phosphorothioates and thioesters, in either single stranded form, or a double-stranded helix. Double stranded DNA-  
10 DNA, DNA-RNA and RNA-RNA helices are possible. The term nucleic acid molecule, and in particular DNA or RNA molecule, refers only to the primary and secondary structure of the molecule, and does not limit it to any particular tertiary forms. Thus, this term includes double-stranded DNA found, *inter alia*, in linear or circular DNA molecules (e.g., restriction  
15 fragments), plasmids, and chromosomes. In discussing the structure of particular double-stranded DNA molecules, sequences may be described herein according to the normal convention of giving only the sequence in the 5' to 3' direction along the non-transcribed strand of DNA (*i.e.*, the strand having a sequence homologous to the mRNA).

20 A "gene" refers to an assembly of nucleotides that encode a polypeptide, and includes cDNA and genomic DNA nucleic acids. "Gene" also refers to a nucleic acid fragment that expresses a specific protein, including regulatory sequences preceding (5' non-coding sequences) and following (3' non-coding sequences) the coding sequence. "Native gene"  
25 refers to a gene as found in nature with its own regulatory sequences. "Chimeric gene" refers to any gene that is not a native gene, comprising regulatory and coding sequences that are not found together in nature. Accordingly, a chimeric gene may comprise regulatory sequences and coding sequences that are derived from different sources, or regulatory  
30 sequences and coding sequences derived from the same source, but arranged in a manner different than that found in nature. "Endogenous gene" refers to a native gene in its natural location in the genome of an organism. A "foreign" gene refers to a gene not normally found in the host organism, but that is introduced into the host organism by gene transfer.  
35 Foreign genes can comprise native genes inserted into a non-native organism, or chimeric genes. A "transgene" is a gene that has been introduced into the genome by a transformation procedure.



A nucleic acid molecule is "hybridizable" to another nucleic acid molecule, such as a cDNA, genomic DNA, or RNA, when a single stranded form of the nucleic acid molecule can anneal to the other nucleic acid molecule under the appropriate conditions of temperature and solution ionic strength. Hybridization and washing conditions are well known and exemplified in Sambrook, J., Fritsch, E. F. and Maniatis, T. Molecular Cloning: A Laboratory Manual, Second Edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor (1989), particularly Chapter 11 and Table 11.1 therein (hereinafter "Maniatis", entirely incorporated herein by reference). The conditions of temperature and ionic strength determine the "stringency" of the hybridization. Stringency conditions can be adjusted to screen for moderately similar fragments, such as homologous sequences from distantly related organisms, to highly similar fragments, such as genes that duplicate functional enzymes from closely related organisms. Post-hybridization washes determine stringency conditions. One set of preferred conditions uses a series of washes starting with 6X SSC, 0.5% SDS at room temperature for 15 min, then repeated with 2X SSC, 0.5% SDS at 45°C for 30 min, and then repeated twice with 0.2X SSC, 0.5% SDS at 50°C for 30 min. A more preferred set of stringent conditions uses higher temperatures in which the washes are identical to those above except for the temperature of the final two 30 min washes in 0.2X SSC, 0.5% SDS was increased to 60°C. Another preferred set of highly stringent conditions uses two final washes in 0.1X SSC, 0.1% SDS at 65°C. Another set of highly stringent conditions are defined by hybridization at 0.1X SSC, 0.1% SDS, 65°C and washed with 2X SSC, 0.1% SDS followed by 0.1X SSC, 0.1% SDS.

Hybridization requires that the two nucleic acids contain complementary sequences, although depending on the stringency of the hybridization, mismatches between bases are possible. The appropriate stringency for hybridizing nucleic acids depends on the length of the nucleic acids and the degree of complementation, variables well known in the art. The greater the degree of similarity or homology between two nucleotide sequences, the greater the value of  $T_m$  for hybrids of nucleic acids having those sequences. The relative stability (corresponding to higher  $T_m$ ) of nucleic acid hybridizations decreases in the following order: RNA:RNA, DNA:RNA, DNA:DNA. For hybrids of greater than 100 nucleotides in length, equations for calculating  $T_m$  have been derived

(see Maniatis, *supra*, 9.50-9.51). For hybridizations with shorter nucleic acids, i.e., oligonucleotides, the position of mismatches becomes more important, and the length of the oligonucleotide determines its specificity (see Maniatis, *supra*, 11.7-11.8). In one embodiment the length for a hybridizable nucleic acid is at least about 10 nucleotides. Preferable a minimum length for a hybridizable nucleic acid is at least about 15 nucleotides; more preferably at least about 20 nucleotides; and most preferably the length is at least 30 nucleotides. Furthermore, the skilled artisan will recognize that the temperature and wash solution salt concentration may be adjusted as necessary according to factors such as length of the probe.

The term "percent identity", as known in the art, is a relationship between two or more polypeptide sequences or two or more polynucleotide sequences, as determined by comparing the sequences. In the art, "identity" also means the degree of sequence relatedness between polypeptide or polynucleotide sequences, as the case may be, as determined by the match between strings of such sequences. "Identity" and "similarity" can be readily calculated by known methods, including but not limited to those described in: Computational Molecular Biology (Lesk, A. M., ed.) Oxford University Press, NY (1988); Biocomputing: Informatics and Genome Projects (Smith, D. W., ed.) Academic Press, NY (1993); Computer Analysis of Sequence Data, Part I (Griffin, A. M., and Griffin, H. G., eds.) Humana Press, NJ (1994); Sequence Analysis in Molecular Biology (von Heinje, G., ed.) Academic Press (1987); and Sequence Analysis Primer (Gribskov, M. and Devereux, J., eds.) Stockton Press, NY (1991). Preferred methods to determine identity are designed to give the best match between the sequences tested. Methods to determine identity and similarity are codified in publicly available computer programs. Sequence alignments and percent identity calculations may be performed using the Megalign program of the LASERGENE bioinformatics computing suite (DNASTAR Inc., Madison, WI). Multiple alignment of the sequences was performed using the Clustal method of alignment (Higgins and Sharp (1989) *CABIOS*. 5:151-153) with the default parameters (GAP PENALTY=10, GAP LENGTH PENALTY=10). Default parameters for pairwise alignments using the Clustal method were KTUPLE 1, GAP PENALTY=3, WINDOW=5 and DIAGONALS SAVED=5.

Suitable nucleic acid fragments (isolated polynucleotides of the present invention) encode polypeptides that are at least about 70% identical, preferably at least about 80% identical to the amino acid sequences reported herein. Preferred nucleic acid fragments encode amino acid sequences that are about 85% identical to the amino acid sequences reported herein. More preferred nucleic acid fragments encode amino acid sequences that are at least about 90% identical to the amino acid sequences reported herein. Most preferred are nucleic acid fragments that encode amino acid sequences that are at least about 95% identical to the amino acid sequences reported herein. Suitable nucleic acid fragments not only have the above homologies but typically encode a polypeptide having at least 50 amino acids, preferably at least 100 amino acids, more preferably at least 150 amino acids, still more preferably at least 200 amino acids, and most preferably at least 250 amino acids.

The term "probe" refers to a single-stranded nucleic acid molecule that can base pair with a complementary single stranded target nucleic acid to form a double-stranded molecule.

The term "complementary" is used to describe the relationship between nucleotide bases that are capable to hybridizing to one another. For example, with respect to DNA, adenosine is complementary to thymine and cytosine is complementary to guanine. Accordingly, the instant invention also includes isolated nucleic acid fragments that are complementary to the complete sequences as reported in the accompanying Sequence Listing as well as those substantially similar nucleic acid sequences.

As used herein, the term "oligonucleotide" refers to a nucleic acid, generally of about 18 nucleotides, that is hybridizable to a genomic DNA molecule, a cDNA molecule, or an mRNA molecule. Oligonucleotides can be labeled, e.g., with <sup>32</sup>P-nucleotides or nucleotides to which a label, such as biotin, has been covalently conjugated. An oligonucleotide can be used as a probe to detect the presence of a nucleic acid according to the invention. Similarly, oligonucleotides (one or both of which may be labeled) can be used as PCR primers, either for cloning full length or a fragment of a nucleic acid of the invention, or to detect the presence of nucleic acids according to the invention. In a further embodiment, an oligonucleotide of the invention can form a triple helix with a DNA molecule. Generally, oligonucleotides are prepared synthetically,

preferably on a nucleic acid synthesizer. Accordingly, oligonucleotides can be prepared with non-naturally occurring phosphoester analog bonds, such as thioester bonds, etc.

5 A DNA "coding sequence" is a double-stranded DNA sequence which is transcribed and translated into a polypeptide in a cell *in vitro* or *in vivo* when placed under the control of appropriate regulatory sequences. "Suitable regulatory sequences" refer to nucleotide sequences located upstream (5' non-coding sequences), within, or downstream (3' non-coding sequences) of a coding sequence, and which  
10 influence the transcription, RNA processing or stability, or translation of the associated coding sequence. Regulatory sequences may include promoters, translation leader sequences, RNA processing site, effector binding site and stem-loop structure. The boundaries of the coding sequence are determined by a start codon at the 5' (amino) terminus and  
15 a translation stop codon at the 3' (carboxyl) terminus. A coding sequence can include, but is not limited to, prokaryotic sequences, cDNA from mRNA, genomic DNA sequences, and even synthetic DNA sequences. If the coding sequence is intended for expression in a eukaryotic cell, a polyadenylation signal and transcription termination sequence will usually  
20 be located 3' to the coding sequence.

"Open reading frame" is abbreviated ORF and means a length of nucleic acid sequence, either DNA, cDNA or RNA, that comprises a translation start signal or initiation codon, such as an ATG or AUG, and a termination codon and can be potentially translated into a polypeptide  
25 sequence.

"Promoter" refers to a DNA sequence capable of controlling the expression of a coding sequence or functional RNA. In general, a coding sequence is located 3' to a promoter sequence. Promoters may be derived in their entirety from a native gene, or be composed of different  
30 elements derived from different promoters found in nature, or even comprise synthetic DNA segments. It is understood by those skilled in the art that different promoters may direct the expression of a gene in different tissues or cell types, or at different stages of development, or in response to different environmental or physiological conditions. Promoters which  
35 cause a gene to be expressed in most cell types at most times are commonly referred to as "constitutive promoters". It is further recognized that since in most cases the exact boundaries of regulatory sequences

have not been completely defined, DNA fragments of different lengths may have identical promoter activity.

5 A "promoter sequence" is a DNA regulatory region capable of binding RNA polymerase in a cell and initiating transcription of a downstream (3' direction) coding sequence. For purposes of defining the present invention, the promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or elements necessary to initiate transcription at levels detectable above background. Within the promoter  
10 sequence will be found a transcription initiation site (conveniently defined for example, by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the binding of RNA polymerase.

15 A coding sequence is "under the control" of transcriptional and translational control sequences in a cell when RNA polymerase transcribes the coding sequence into mRNA, which is then trans-RNA spliced (if the coding sequence contains introns) and translated into the protein encoded by the coding sequence.

20 "Transcriptional and translational control sequences" are DNA regulatory sequences, such as promoters, enhancers, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In eukaryotic cells, polyadenylation signals are control sequences.

25 The term "operably linked" refers to the association of nucleic acid sequences on a single nucleic acid fragment so that the function of one is affected by the other. For example, a promoter is operably linked with a coding sequence when it is capable of affecting the expression of that coding sequence (i.e., that the coding sequence is under the transcriptional control of the promoter). Coding sequences can be operably linked to regulatory sequences in sense or antisense orientation.

30 The term "expression", as used herein, refers to the transcription and stable accumulation of sense (mRNA) or antisense RNA derived from the nucleic acid fragment of the invention. Expression may also refer to translation of mRNA into a polypeptide.

35 The terms "restriction endonuclease" and "restriction enzyme" refer to an enzyme which binds and cuts within a specific nucleotide sequence within double stranded DNA.

“Regulatory region” means a nucleic acid sequence which regulates the expression of a second nucleic acid sequence. A regulatory region may include sequences which are naturally responsible for expressing a particular nucleic acid (a homologous region) or may include  
5 sequences of a different origin which are responsible for expressing different proteins or even synthetic proteins (a heterologous region). In particular, the sequences can be sequences of prokaryotic, eukaryotic, or viral genes or derived sequences which stimulate or repress transcription of a gene in a specific or non-specific manner and in an inducible or non-  
10 inducible manner. Regulatory regions include origins of replication, RNA splice sites, promoters, enhancers, transcriptional termination sequences, and signal sequences which direct the polypeptide into the secretory pathways of the target cell.

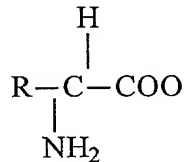
A regulatory region from a “heterologous source” is a regulatory  
15 region which is not naturally associated with the expressed nucleic acid. Included among the heterologous regulatory regions are regulatory regions from a different species, regulatory regions from a different gene, hybrid regulatory sequences, and regulatory sequences which do not occur in nature, but which are designed by one having ordinary skill in the  
20 art.

“Heterologous” DNA refers to DNA not naturally located in the cell, or in a chromosomal site of the cell. Preferably, the heterologous DNA includes a gene foreign to the cell.

“RNA transcript” refers to the product resulting from RNA  
25 polymerase-catalyzed transcription of a DNA sequence. When the RNA transcript is a perfect complementary copy of the DNA sequence, it is referred to as the primary transcript or it may be a RNA sequence derived from post-transcriptional processing of the primary transcript and is referred to as the mature RNA. “Messenger RNA (mRNA)” refers to the  
30 RNA that is without introns and that can be translated into protein by the cell. “cDNA” refers to a double-stranded DNA that is complementary to and derived from mRNA. “Sense” RNA refers to RNA transcript that includes the mRNA and so can be translated into protein by the cell. “Antisense RNA” refers to a RNA transcript that is complementary to all or  
35 part of a target primary transcript or mRNA and that blocks the expression of a target gene (U.S. Patent No. 5,107,065; WO 9928508). The complementarity of an antisense RNA may be with any part of the specific

gene transcript, i.e., at the 5' non-coding sequence, 3' non-coding sequence, or the coding sequence. "Functional RNA" refers to antisense RNA, ribozyme RNA, or other RNA that is not translated yet has an effect on cellular processes.

- 5           A "polypeptide" is a polymeric compound comprised of covalently linked amino acid residues. Amino acids have the following general structure:



- 10   Amino acids are classified into seven groups on the basis of the side chain R: (1) aliphatic side chains, (2) side chains containing a hydroxylic (OH) group, (3) side chains containing sulfur atoms, (4) side chains containing an acidic or amide group, (5) side chains containing a basic group, (6) side chains containing an aromatic ring, and (7) proline, an imino acid in which the side chain is fused to the amino group. A polypeptide of the invention preferably comprises at least about 14 amino acids.

A "protein" is a polypeptide that performs a structural or functional role in a living cell.

- 20           A "heterologous protein" refers to a protein not naturally produced in the cell.

- A "mature protein" refers to a post-translationally processed polypeptide; i.e., one from which any pre- or propeptides present in the primary translation product have been removed. "Precursor" protein refers to the primary product of translation of mRNA; i.e., with pre- and propeptides still present. Pre- and propeptides may be but are not limited to intracellular localization signals.
- 25

- The term "signal peptide" refers to an amino terminal polypeptide preceding the secreted mature protein. The signal peptide is cleaved from and is therefore not present in the mature protein. Signal peptides have the function of directing and translocating secreted proteins across cell membranes. Signal peptide is also referred to as signal protein.
- 30

A "signal sequence" is included at the beginning of the coding sequence of a protein to be expressed on the surface of a cell. This

sequence encodes a signal peptide, N-terminal to the mature polypeptide, that directs the host cell to translocate the polypeptide. The term "translocation signal sequence" is used herein to refer to this sort of signal sequence. Translocation signal sequences can be found associated with a variety of proteins native to eukaryotes and prokaryotes, and are often functional in both types of organisms.

As used herein, the term "homologous" in all its grammatical forms and spelling variations refers to the relationship between proteins that possess a "common evolutionary origin," including proteins from superfamilies and homologous proteins from different species (Reeck et al., 1987, *Cell* 50:667). Such proteins (and their encoding genes) have sequence homology, as reflected by their high degree of sequence similarity.

The term "corresponding to" is used herein to refer to similar or homologous sequences, whether the exact position is identical or different from the molecule to which the similarity or homology is measured. A nucleic acid or amino acid sequence alignment may include spaces. Thus, the term "corresponding to" refers to the sequence similarity, and not the numbering of the amino acid residues or nucleotide bases.

A "substantial portion" of an amino acid or nucleotide sequence comprising enough of the amino acid sequence of a polypeptide or the nucleotide sequence of a gene to putatively identify that polypeptide or gene, either by manual evaluation of the sequence by one skilled in the art, or by computer-automated sequence comparison and identification using algorithms such as BLAST (Basic Local Alignment Search Tool; Altschul, S. F., et al., (1993) *J. Mol. Biol.* 215:403-410; see also [www.ncbi.nlm.nih.gov/BLAST/](http://www.ncbi.nlm.nih.gov/BLAST/)). In general, a sequence of ten or more contiguous amino acids or thirty or more nucleotides is necessary in order to putatively identify a polypeptide or nucleic acid sequence as homologous to a known protein or gene. Moreover, with respect to nucleotide sequences, gene specific oligonucleotide probes comprising 20-30 contiguous nucleotides may be used in sequence-dependent methods of gene identification (e.g., Southern hybridization) and isolation (e.g., *in situ* hybridization of bacterial colonies or bacteriophage plaques). In addition, short oligonucleotides of 12-15 bases may be used as amplification primers in PCR in order to obtain a particular nucleic acid fragment comprising the primers. Accordingly, a "substantial portion" of a



The term “sequence analysis software” refers to any computer algorithm or software program that is useful for the analysis of nucleotide or amino acid sequences. “Sequence analysis software” may be commercially available or independently developed. Typical sequence analysis software will include but is not limited to the GCG suite of programs (Wisconsin Package Version 9.0, Genetics Computer Group (GCG), Madison, WI), BLASTP, BLASTN, BLASTX (Altschul et al., *J. Mol. Biol.* 215:403-410 (1990), and DNASTAR (DNASTAR, Inc. 1228 S. Park St. Madison, WI 53715 USA), and the FASTA program incorporating the Smith-Waterman algorithm (W. R. Pearson, *Comput. Methods Genome Res.*, [Proc. Int. Symp.] (1994), Meeting Date 1992, 111-20. Editor(s): Suhai, Sandor. Publisher: Plenum, New York, NY). Within the context of this application it will be understood that where sequence analysis software is used for analysis, that the results of the analysis will be based on the “default values” of the program referenced, unless otherwise specified. As used herein “default values” will mean any set of values or parameters which originally load with the software when first initialized.

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plasmids, liposomes, electrically charged lipids (cytofectins), DNA-protein complexes, and biopolymers. In addition to a nucleic acid, a vector may also contain one or more regulatory regions, and/or selectable markers useful in selecting, measuring, and monitoring nucleic acid transfer results (transfer to which tissues, duration of expression, etc.).

The term "plasmid" refers to an extra chromosomal element often carrying a gene that is not part of the central metabolism of the cell, and usually in the form of circular double-stranded DNA molecules. Such elements may be autonomously replicating sequences, genome integrating sequences, phage or nucleotide sequences, linear, circular, or supercoiled, of a single- or double-stranded DNA or RNA, derived from any source, in which a number of nucleotide sequences have been joined or recombined into a unique construction which is capable of introducing a promoter fragment and DNA sequence for a selected gene product along with appropriate 3' untranslated sequence into a cell.

A "cloning vector" is a "replicon", which is a unit length of DNA that replicates sequentially and which comprises an origin of replication, such as a plasmid, phage or cosmid, to which another DNA segment may be attached so as to bring about the replication of the attached segment. Cloning vectors may be capable of replication in one cell type, and expression in another ("shuttle vector").

A cell has been "transfected" by exogenous or heterologous DNA when such DNA has been introduced inside the cell. A cell has been "transformed" by exogenous or heterologous DNA when the transfected DNA effects a phenotypic change. The transforming DNA can be integrated (covalently linked) into chromosomal DNA making up the genome of the cell.

"Transformation" refers to the transfer of a nucleic acid fragment into the genome of a host organism, resulting in genetically stable inheritance. Host organisms containing the transformed nucleic acid fragments are referred to as "transgenic" or "recombinant" or "transformed" organisms.

"Polymerase chain reaction" is abbreviated PCR and means an *in vitro* method for enzymatically amplifying specific nucleic acid sequences. PCR involves a repetitive series of temperature cycles with each cycle comprising three stages: denaturation of the template nucleic acid to separate the strands of the target molecule, annealing a single

stranded PCR oligonucleotide primer to the template nucleic acid, and extension of the annealed primer(s) by DNA polymerase.

The term “rep” or “repA” refers to a replication protein which controls the ability of a *Rhodococcus* plasmid to replicate. As used herein the rep protein will also be referred to as a “replication protein” or a “replicase”.  
5 The term “rep” will be used to delineate the gene encoding the rep protein.

The term “div” refers to a protein necessary for maintaining plasmid stability. The div protein has significant homology to cell division proteins and will also be referred to herein as a “plasmid stability protein”.  
10

The terms “origin of replication” or “ORI” mean a specific site or sequence within a DNA molecule at which DNA replication is initiated. Bacterial and phage chromosomes have a single origin of replication.

The term “pAN12” refers to a plasmid comprising all or a substantial portion of the nucleotide sequence as set forth in SEQ ID NO:5, wherein the plasmid comprises a rep encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:1, a div encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:3, and an origin of replication comprising a nucleotide sequence as set forth in  
15 SEQ ID NO:8.  
20

The term “pRHBR17” refers to an *Escherichia coli-Rhodococcus* shuttle vector comprising all or a substantial portion of the nucleotide sequence as set forth in SEQ ID NO:6, wherein the shuttle vector comprises a rep encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:1, a div encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:3, and an origin of replication comprising a nucleotide sequence as set forth in SEQ ID NO:8.  
25

The term “pRHBR171” refers to an *Escherichia coli-Rhodococcus* shuttle vector comprising all or a substantial portion of the nucleotide sequence as set forth in SEQ ID NO:7, wherein the shuttle vector comprises a rep encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:1, a div encoding nucleic acid comprising a nucleotide sequence as set forth in SEQ ID NO:3, and an origin of replication comprising a nucleotide sequence as set forth in SEQ ID NO:8.  
30

The term “genetic region” will refer to a region of a nucleic acid molecule or a nucleotide sequence that comprises a gene encoding a polypeptide.  
35

The term "selectable marker" means an identifying factor, usually an antibiotic or chemical resistance gene, that is able to be selected for based upon the marker gene's effect, i.e., resistance to an antibiotic, wherein the effect is used to track the inheritance of a nucleic acid of interest and/or to identify a cell or organism that has inherited the nucleic acid of interest.

The term "incompatibility" as applied to plasmids refers to the inability of any two plasmids to co-exist in the same cell. Any two plasmids from the same incompatibility group can not be maintained in the same cell. Plasmids from different "incompatibility groups" can be in the same cell at the same time. Incompatibility groups are most extensively worked out for conjugative plasmids in the gram negative bacteria.

The term "Actinomycetales bacterial family" will mean a bacterial family comprised of genera, including but not limited to *Actinomyces*, *Actinoplanes*, *Arcanobacterium*, *Corynebacterium*, *Dietzia*, *Gordonia*, *Mycobacterium*, *Nocardia*, *Rhodococcus*, *Tsukamurella*, *Brevibacterium*, *Arthrobacter*, *Propionibacterium*, *Streptomyces*, *Micrococcus*, and *Micromonospora*.

#### Nucleic Acids of the Invention

Applicants have identified and isolated a nucleic acid encoding a unique replication protein, rep, within a novel *Rhodococcus* plasmid of the invention. This replication protein encoding nucleic acid may be used in a variety of cloning and expression vectors and particularly in shuttle vectors for the expression of homologous and heterologous genes in *Rhodococcus* sp. and like organisms. Comparisons of the nucleotide and amino acid sequences of the present replication protein indicated that the sequence was unique, having only 51% identity and a 35% similarity to the 459 amino acid Rep protein from *Arcanobacterium pyogenes* (Billington, S. J. et al, *J. Bacteriol.* 180, 3233-3236, 1998) as aligned via the Smith-Waterman alignment algorithm (W. R. Pearson, *Comput. Methods Genome Res.*, [Proc. Int. Symp.] (1994), Meeting Date 1992, 111-20. Editor(s): Suhai, Sandor. Publisher: Plenum, New York, NY).

Applicants have identified and isolated a nucleic acid encoding a unique plasmid stability protein having homology to a putative cell division (div) protein within a novel *Rhodococcus* plasmid of the invention. The stability protein is unique when compared with sequences in the public database having only 24% identity and a 40% similarity to the C-terminal

portion of the 529 amino acid putative cell division protein from *Haemophilus influenzae* (Fleischmann et al., *Science* 269 (5223), 496-512 (1995).

Thus a sequence is within the scope of the invention if it encodes a replication function and comprises a nucleotide sequence encoding a polypeptide of at least 379 amino acids that has at least 70% identity based on the Smith-Waterman method of alignment (W. R. Pearson, *supra*) when compared to a polypeptide having the sequence as set forth in SEQ ID NO:2, or a second nucleotide sequence comprising the complement of the first nucleotide sequence.

Similarly a sequence is within the scope of the invention if it encodes a stability function and comprises a nucleotide sequence encoding a polypeptide of at least 296 amino acids that has at least 70% identity based on the Smith-Waterman method of alignment (W. R. Pearson, *supra*) when compared to a polypeptide having the sequence as set forth in SEQ ID NO:4, or a second nucleotide sequence comprising the complement of the first nucleotide sequence.

Accordingly, preferred amino acid fragments are at least about 70%-80% identical to the sequences herein. Most preferred are amino acid fragments that are at least 90-95% identical to the amino acid fragments reported herein. Similarly, preferred encoding nucleic acid sequences corresponding to the instant rep and div genes are those encoding active proteins and which are at least 70% identical to the nucleic acid sequences of reported herein. More preferred rep or div nucleic acid fragments are at least 80% identical to the sequences herein. Most preferred are rep and div nucleic acid fragments that are at least 90-95% identical to the nucleic acid fragments reported herein.

The nucleic acid fragments of the instant invention may be used to isolate genes encoding homologous proteins from the same or other microbial species. Isolation of homologous genes using sequence-dependent protocols is well known in the art. Examples of sequence-dependent protocols include, but are not limited to, methods of nucleic acid hybridization, and methods of DNA and RNA amplification as exemplified by various uses of nucleic acid amplification technologies [e.g., polymerase chain reaction, Mullis et al., U.S. Patent 4,683,202; ligase chain reaction (LCR), Tabor, S. et al., *Proc. Acad. Sci. USA* 82,

1074, (1985)] or strand displacement amplification [SDA, Walker, et al.,  
*Proc. Natl. Acad. Sci. U.S.A.*, 89, 392, (1992)].

For example, genes encoding similar proteins or polypeptides to  
those of the instant invention could be isolated directly by using all or a  
5 portion of the instant nucleic acid fragments as DNA hybridization probes  
to screen libraries from any desired bacteria using methodology well  
known to those skilled in the art. Specific oligonucleotide probes based  
upon the instant nucleic acid sequences can be designed and synthesized  
by methods known in the art (Maniatis, *supra* 1989). Moreover, the entire  
10 sequences can be used directly to synthesize DNA probes by methods  
known to the skilled artisan such as random primers DNA labeling, nick  
translation, or end-labeling techniques, or RNA probes using available  
*in vitro* transcription systems. In addition, specific primers can be  
designed and used to amplify a part of or full-length of the instant  
15 sequences. The resulting amplification products can be labeled directly  
during amplification reactions or labeled after amplification reactions, and  
used as probes to isolate full length DNA fragments under conditions of  
appropriate stringency.

Typically, in PCR-type amplification techniques, the primers have  
20 different sequences and are not complementary to each other.  
Depending on the desired test conditions, the sequences of the primers  
should be designed to provide for both efficient and faithful replication of  
the target nucleic acid. Methods of PCR primer design are common and  
well known in the art. (Thein and Wallace, "The use of oligonucleotide as  
25 specific hybridization probes in the Diagnosis of Genetic Disorders", in  
*Human Genetic Diseases: A Practical Approach*, K. E. Davis Ed., (1986)  
pp. 33-50 IRL Press, Herndon, Virginia); Rychlik, W. (1993) In White, B. A.  
(ed.), Methods in Molecular Biology, Vol. 15, pages 31-39, PCR Protocols:  
Current Methods and Applications. Humana Press, Inc., Totowa, NJ).

30 Generally two short segments of the instant sequences may be  
used in polymerase chain reaction (PCR) protocols to amplify longer  
nucleic acid fragments encoding homologous genes from DNA or RNA.  
The polymerase chain reaction may also be performed on a library of  
cloned nucleic acid fragments wherein the sequence of one primer is  
35 derived from the instant nucleic acid fragments, and the sequence of the  
other primer takes advantage of the presence of the polyadenylic acid  
tracts to the 3' end of the mRNA precursor encoding microbial genes.

Alternatively, the second primer sequence may be based upon sequences derived from the cloning vector. For example, the skilled artisan can follow the RACE protocol [Frohman et al., *PNAS USA* 85:8998 (1988)] to generate cDNAs by using PCR to amplify copies of the region between a single point in the transcript and the 3' or 5' end. Primers oriented in the 3' and 5' directions can be designed from the instant sequences. Using commercially available 3' RACE or 5' RACE systems (BRL), specific 3' or 5' cDNA fragments can be isolated [Ohara et al., *PNAS USA* 86:5673 (1989); Loh et al., *Science* 243:217 (1989)].

- 10           Alternatively the instant sequences may be employed as hybridization reagents for the identification of homologs. The basic components of a nucleic acid hybridization test include a probe, a sample suspected of containing the gene or gene fragment of interest, and a specific hybridization method. Probes of the present invention are typically single stranded nucleic acid sequences which are complementary to the nucleic acid sequences to be detected. Probes are "hybridizable" to the nucleic acid sequence to be detected. The probe length can vary from 5 bases to tens of thousands of bases, and will depend upon the specific test to be done. Typically a probe length of about 15 bases to about 20   30 bases is suitable. Only part of the probe molecule need be complementary to the nucleic acid sequence to be detected. In addition, the complementarity between the probe and the target sequence need not be perfect. Hybridization does occur between imperfectly complementary molecules with the result that a certain fraction of the bases in the 25   hybridized region are not paired with the proper complementary base.

- Hybridization methods are well defined and have been described above. Typically, the probe and sample must be mixed under conditions which will permit nucleic acid hybridization. This involves contacting the probe and sample in the presence of an inorganic or organic salt under the proper concentration and temperature conditions. The probe and sample nucleic acids must be in contact for a long enough time that any possible hybridization between the probe and sample nucleic acid may occur. The concentration of probe or target in the mixture will determine the time necessary for hybridization to occur. The higher the probe or 30   target concentration the shorter the hybridization incubation time needed. Optionally a chaotropic agent may be added. The chaotropic agent stabilizes nucleic acids by inhibiting nuclease activity. Furthermore, the

chaotropic agent allows sensitive and stringent hybridization of short oligonucleotide probes at room temperature [Van Ness and Chen (1991) *Nucl. Acids Res.* 19:5143-5151]. Suitable chaotropic agents include guanidinium chloride, guanidinium thiocyanate, sodium thiocyanate, lithium tetrachloroacetate, sodium perchlorate, rubidium tetrachloroacetate, potassium iodide, and cesium trifluoroacetate, among others. Typically, the chaotropic agent will be present at a final concentration of about 3M. If desired, one can add formamide to the hybridization mixture, typically 30-50% (v/v).

- 10 Various hybridization solutions can be employed. Typically, these comprise from about 20 to 60% volume, preferably 30%, of a polar organic solvent. A common hybridization solution employs about 30-50% v/v formamide, about 0.15 to 1M sodium chloride, about 0.05 to 0.1M buffers, such as sodium citrate, Tris-HCl, PIPES or HEPES (pH range about 6-9), about 0.05 to 0.2% detergent, such as sodium dodecylsulfate, or between 0.5-20 mM EDTA, FICOLL (Pharmacia Inc.) (about 300-500 kilodaltons), polyvinylpyrrolidone (about 250-500 kdal), and serum albumin. Also included in the typical hybridization solution will be unlabeled carrier nucleic acids from about 0.1 to 5 mg/mL, fragmented nucleic DNA, e.g., calf thymus or salmon sperm DNA, or yeast RNA, and optionally from about 0.5 to 2% wt./vol. glycine. Other additives may also be included, such as volume exclusion agents which include a variety of polar water-soluble or swellable agents, such as polyethylene glycol, anionic polymers such as polyacrylate or polymethylacrylate, and anionic saccharidic polymers, such as dextran sulfate.

- 20 Nucleic acid hybridization is adaptable to a variety of assay formats. One of the most suitable is the sandwich assay format. The sandwich assay is particularly adaptable to hybridization under non-denaturing conditions. A primary component of a sandwich-type assay is a solid support. The solid support has adsorbed to it or covalently coupled to it immobilized nucleic acid probe that is unlabeled and complementary to one portion of the sequence.

#### Plasmids and Vectors of the Invention

- 35 Plasmids useful for gene expression in bacteria may be either self-replicating (autonomously replicating) plasmids or chromosomally integrated. The self-replicating plasmids have the advantage of having multiple copies of genes of interest, and therefore the expression level can



be very high. Chromosome integration plasmids are integrated into the genome by recombination. They have the advantage of being stable, but they may suffer from a lower level of expression. In a preferred embodiment, plasmids or vectors according to the present invention are self-replicating and are used according to the methods of the invention.

Vectors or plasmids useful for the transformation of suitable host cells are well known in the art. Typically the vector or plasmid contains sequences directing transcription and translation of the relevant gene, a selectable marker, and sequences allowing autonomous replication or chromosomal integration. In a specific embodiment, the plasmid or vector comprises a nucleic acid according to the present invention. Suitable vectors comprise a region 5' of the gene which harbors transcriptional initiation controls and a region 3' of the DNA fragment which controls transcriptional termination. It is most preferred when both control regions are derived from genes homologous to the transformed host cell, although it is to be understood that such control regions need not be derived from the genes native to the specific species chosen as a production host. Vectors of the present invention will additionally contain a unique replication protein (rep) as described above that facilitates the replication of the vector in the *Rhodococcus* host. Additionally the present vectors will comprise a stability coding sequence that is useful for maintaining the stability of the vector in the host and has a significant degree of homology to putative cell division proteins. The vectors of the present invention will contain convenient restriction sites for the facile insertion of genes of interest to be expressed in the *Rhodococcus* host.

The present invention relates to two specific plasmids, pAN12, isolated from a *Rhodococcus erythropolis* host and shuttle vectors derived and constructed therefrom. The pAN12 vector contains a unique Ori and replication and stability sequences for *Rhodococcus* while the shuttle vectors additionally contain an origin of replication (ORI) for replication in *E. coli* and antibiotic resistance markers for selection in *Rhodococcus* and *E. coli*.

Bacterial plasmids typically range in size from about 1 kb to about 200 kb and are generally autonomously replicating genetic units in the bacterial host. When a bacterial host has been identified that may contain a plasmid containing desirable genes, cultures of host cells are grown up, lysed and the plasmid purified from the cellular material. If the plasmid is

of the high copy number variety, it is possible to purify it without additional amplification. If additional plasmid DNA is needed, a bacterial cell may be grown in the presence of a protein synthesis inhibitor such as chloramphenicol which inhibits host cell protein synthesis and allow  
5 additional copies of the plasmid to be made. Cell lysis may be accomplished either enzymatically ( i.e lysozyme) in the presence of a mild detergent, by boiling or treatment with strong base. The method chosen will depend on a number of factors including the characteristics of the host bacteria and the size of the plasmid to be isolated.

10 After lysis the plasmid DNA may be purified by gradient centrifugation (CsCl-ethidium bromide for example) or by phenol:chloroform solvent extraction. Additionally, size or ion exchange chromatography may be used as well as differential separation with polyethylene glycol.  
15 Once the plasmid DNA has been purified, the plasmid may be analyzed by restriction enzyme analysis and sequenced to determine the sequence of the genes contained on the plasmid and the position of each restriction site to create a plasmid restriction map. Methods of constructing or isolating vectors are common and well known in the art (see for example  
20 Manitas *supra*, Chapter 1; Rohde, C., *World J. Microbiol. Biotechnol.* (1995), 11(3), 367-9); Trevors, J. T., *J. Microbiol. Methods* (1985), 3(5-6), 259-71).

Using these general methods the 6.3 kb pAN12 was isolated from *Rhodococcus erythropolis* AN12, purified and mapped (see Figure 1) and  
25 the position of restriction sites determined (see Table 1, below).

TABLE 1. Restriction Endonuclease Cleavage of pAN12 (SEQ ID NO:5)

Restriction Enzyme	Number/Nucleotide Location of Cleavage Site(s)	Size of Digested Fragments (kb)
Afl III	1/515	6.334
BamH I	2/ 2240, 6151	2.423, 3.911
Ban I	1/4440	6.334
Ban II	1/4924	6.334
Bbe I	1/4440	6.334
Bsm I	1/6295	6.334
BssH II	1/2582	6.334

Restriction Enzyme	Number/Nucleotide Location of Cleavage Site(s)	Size of Digested Fragments (kb)
Bsu36 I	1/6070	6.334
EcoR I	1/797	6.334
Esp I	1/1897	6.334
Hind III	3/61, 4611, 6308	0.087, 1.697, 4.550
Mlu I	1/515	6.334
Nar I	1/4440	6.334
Nde I	1/626	6.334
Nsi I	1/3758	6.334
PpuM I	1/3060	6.334
Pst I	1/110	6.334
Pvu II	3/ 555, 2697, 3865	1.168, 2.142, 3.024
Rsr II	1/2866	6.334
Sac I	1/4924	6.334
Sac II	1/3272	6.334
SnaB I	1/2418	6.334
Spe I	1/3987	6.334
Ssp I	1/1	6.334
StuI	2/193, 2843	2.650, 3.684
Tth111 I	1/4900	6.334
Xho I	2/ 3746, 3784	0.038, 6.296

Once mapped, isolated plasmids may be modified in a number of ways. Using the existing restriction sites specific genes desired for expression in the host cell may be inserted within the plasmid.

- 5 Additionally, using techniques well known in the art, new or different restriction sites may be engineered into the plasmid to facilitate gene insertion. Many native bacterial plasmid contain genes encoding resistance or sensitivity to various antibiotics. However, it may be useful to insert additional selectable markers to replace the existing ones with
- 10 others. Selectable markers useful in the present invention include, but are not limited to genes conferring antibiotic resistance or sensitivity, genes encoding a selectable label such as a color (e.g. *lac*) or light (e.g. *Luc*; *Lux*) or genes encoding proteins that confer a particular phenotypic metabolic or morphological trait. Generally, markers that are selectable in
- 15 both gram negative and gram positive hosts are preferred. Particularly

suitable in the present invention are markers that encode antibiotic resistance or sensitivity, including but not limited to ampicillin resistance gene, tetracycline resistance gene, chloramphenicol resistance gene, kanamycin resistance gene, and thiostrepton resistance gene.

5 Plasmids of the present invention will contain a gene of interest to be expressed in the host. The genes to be expressed may be either native or endogenous to the host or foreign or heterologous genes. Particularly suitable are genes encoding enzymes involved in various synthesis or degradation pathways.

10 Endogenous genes of interest for expression in a *Rhodococcus* using Applicants' vectors and methods include, but are not limited to: a) genes encoding enzymes involved in the production of isoprenoid molecules, for example, 1-deoxyxylulose-5-phosphate synthase gene (dxs) can be expressed in *Rhodococcus* to exploit the high flux for the  
15 isoprenoid pathway in this organism; b) genes encoding polyhydroxyalkanoic acid (PHA) synthases (phaC) which can also be expressed for the production of biodegradable plastics; c) genes encoding carotenoid pathway genes (eg, crtI) can be expressed to increase pigment production in *Rhodococcus*; d) genes encoding nitrile hydratases for  
20 production of acrylamide in *Rhodococcus* and the like, and d) genes encoding monooxygenases derived from waste stream bacteria.

Heterologous genes of interest for expression in a *Rhodococcus* include, but are not limited to: a) ethylene forming enzyme (efe) from  
25 *Pseudomonas syringae* for ethylene production, b) pyruvate decarboxylase (pdc), alcohol dehydrogenase (adh) for alcohol production, c) terpene synthases from plants for production of terpenes in *Rhodococcus*, d) cholesterol oxidase (choD) from *Mycobacterium tuberculosis* for production of the enzyme in *Rhodococcus*; and the like, and e) genes encoding monooxygenases derived from waste stream  
30 bacteria.

The plasmids or vectors according to the invention may further comprise at least one promoter suitable for driving expression of a gene in *Rhodococcus*. Typically these promoters including the initiation control regions will be derived from a *Rhodococcus* sp. Termination control  
35 regions may also be derived from various genes native to the preferred hosts. Optionally, a termination site may be unnecessary, however, it is most preferred if included.

Optionally it may be desired to produce the instant gene product as a secretion product of the transformed host. Secretion of desired proteins into the growth media has the advantages of simplified and less costly purification procedures. It is well known in the art that secretion signal sequences are often useful in facilitating the active transport of expressible proteins across cell membranes. The creation of a transformed host capable of secretion may be accomplished by the incorporation of a DNA sequence that codes for a secretion signal which is functional in the host production host. Methods for choosing appropriate signal sequences are well known in the art (see for example EP 546049; WO 9324631). The secretion signal DNA or facilitator may be located between the expression-controlling DNA and the instant gene or gene fragment, and in the same reading frame with the latter.

The present invention also relates to a plasmid or vector that is able to replicate or "shuttle" between at least two different organisms. Shuttle vectors are useful for carrying genetic material from one organism to another. The shuttle vector is distinguished from other vectors by its ability to replicate in more than one host. This is facilitated by the presence of an origin of replication corresponding to each host in which it must replicate. The present vectors are designed to replicate in *Rhodococcus* for the purpose of gene expression. As such each contain a unique origin of replication for replication in *Rhodococcus*. This sequence is set forth in SEQ ID NO:8. Many of the genetic manipulations for this vector may be easily accomplished in *E. coli*. It is therefore particularly useful to have a shuttle vector comprising an origin of replication that will function in *E. coli* and other gram positive bacteria. A number of ORI sequences for gram positive bacteria have been determined and the sequence for the ORI in *E. coli* determined (see for example Hirota et al., *Prog. Nucleic Acid Res. Mol. Biol.* (1981), 26, 33-48); Zyskind, J.W.; Smith, D.W., *Proc. Natl. Acad. Sci. U.S.A.*, 77, 2460-2464 (1980), GenBank ACC. NO. (GBN): J01808). Preferred for use in the present invention are those ORI sequences isolated from gram positive bacteria, and particularly those members of the *Actinomycetales* bacterial family. Members of the *Actinomycetales* bacterial family include for example, the genera *Actinomyces*, *Actinoplanes*, *Arcanobacterium*, *Corynebacterium*, *Dietzia*, *Gordonia*, *Mycobacterium*, *Nocardia*,

*Rhodococcus, Tsukamurella, Brevibacterium, Arthrobacter, Propionibacterium, Streptomyces, Micrococcus, and Micromonospora.*

Two shuttle vectors are described herein, pRhBR17 and pRhBR171, each constructed and isolated separately but having the same essential features. The complete sequence of pRhBR17 is given in SEQ ID NO:6 and the complete sequence of the pRhBR171 is given in SEQ ID NO:7.

pRhBR17 has a size of about 11.2 kb and the characteristics of cleavage with restriction enzymes as shown in Table 2 and Figure 2.

**TABLE 2.** Restriction Endonuclease Cleavage of pRhBR17 (SEQ ID NO:6)

Restriction Enzyme	Number/Nucleotide Location of Cleavage Site(s)	Size of Digested Fragments (kb)
Afl III	1/4105	11.241
Ase I	1/2450	11.241
Bal I	1/10289	11.241
BamH I	3/ 375, 5830, 9741	1.875, 3.911, 5.455
BssH II	1/6172	11.241
EcoR I	2/4387, 10024	5.604, 5.637
EcoR V	1/185	11.241
Esp I	1/5487	11.241
Hind III	4/ 29, 3651, 8201, 9898	1.372, 1.697, 3.622, 4.550
Mlu I	1/4105	11.241
Nco I	1/10325	11.241
Nde I	1/4216	11.241
Nhe I	1/229	11.241
Nsi I	1/7348	11.241
PpuM I	1/6650	11.241
Pst I	2/2520, 3700	1.180, 11.061
Pvu II	3/ 4145, 6287, 7455	1.168, 2.142, 7.931
Rsr II	1/6456	11.241
Sac I	1/8514	11.241
Sac II	1/6862	11.241
SnaB I	1/6008	11.241

Restriction Enzyme	Number/Nucleotide Location of Cleavage Site(s)	Size of Digested Fragments (kb)
Spe I	1/7577	11.241
Ssp I	2/3081, 10334	3.988, 7.253
Stul	2/3783, 6433	2.650, 8.591

PRhBR171 has a size of about 9.7 kb and the characteristics of cleavage with restriction enzymes as shown in Table 3 and Figure 3.

- 5 **TABLE 3.** Restriction Endonuclease Cleavage of pRhBR171 (SEQ ID NO:7)

Restriction Enzyme	Number/Nucleotide Location of Cleavage Site(s)	Size of Digested Fragments (kb)
Ase I	1/2450	9.652
Bal I	1/8700	9.652
BamH I	3/375, 4241, 8152	1.875, 3.866, 3.911
BssH II	1/4583	9.652
EcoR I	2/2798, 8435	4.015, 5.637
EcoR V	1/185	9.652
Esp I	1/3898	9.652
Hind III	3/29, 6612, 8309	1.372, 1.697, 6.583
Nco I	1/8736	9.652
Nde I	1/2627	9.652
Nhe I	1/229	9.652
Nsi I	1/5759	9.652
PpuM I	1/5061	9.652
Pvu II	3/2556, 4698, 5866	1.168, 2.142, 6.342
Rsr II	1/4867	9.652
Sac I	1/6925	9.652
Sac II	1/5273	9.652
SnaB I	1/4419	9.652
Spe I	1/5988	9.652
Ssp I	1/8745	9.652
Stul	1/4844	9.652

The vectors of the present invention will be particularly useful in expression of genes in *Rhodococcus* sp and other like bacteria. Species of *Rhodococcus* particularly suited for use with these vectors include but are not limited to *Rhodococcus equi*, *Rhodococcus erythropolis*,  
5 *Rhodococcus opacus*, *Rhodococcus rhodochrous*, *Rhodococcus globerulus*, *Rhodococcus koreensis*, *Rhodococcus fascians*, and *Rhodococcus ruber*.

#### Methods for Gene Expression.

Applicants' invention provides methods for gene expression in host  
10 cells, particularly in the cells of microbial hosts. Expression in recombinant microbial hosts may be useful for the expression of various pathway intermediates; for the modulation of pathways already existing in the host for the synthesis of new products heretofore not possible using the host. Additionally the gene products may be useful for conferring  
15 higher growth yields of the host or for enabling alternative growth mode to be utilized.

Once suitable plasmids are constructed they are used to transform appropriate host cells. Introduction of the plasmid into the host cell may be accomplished by known procedures such as by transformation, e.g.,  
20 using calcium-permeabilized cells, electroporation, transduction, or by transfection using a recombinant phage virus. (Maniatis, *supra*)

In a preferred embodiment the present vectors may be co-transformed with additional vectors, also containing DNA heterologous to the host. It will be appreciated that both the present vector and the  
25 additional vector will have to reside in the same incompatibility group. The ability for two or plasmids to coexist in same host will depend on whether they belong to the same incompatibility group. Generally, plasmids that do not compete for the same metabolic elements will be compatible in the same host. For a complete review of the issues surrounding plasmid  
30 coexistence see Thomas et al., *Annu. Rev. Microbiol.* (1987), 41, 77-101. Vectors of the present invention comprise the rep protein coding sequence as set forth in SEQ ID NO:1 and the ORI sequence as set forth in SEQ ID NO:8. Any vector containing the instant rep coding sequence and the ORI will be expected to replicate in *Rhodococcus*. Any plasmid  
35 that has the ability to co-exist with the rep expressing plasmid of the present invention is in the different compatibility group as the instant



plasmid and will be useful for the co-expression of heterologous genes in a specified host.

*Rhodococcus* transformants as microbial production platform

Once a suitable *Rhodococcus* host is successfully transformed with the appropriate vector of the present invention it may be cultured in a variety of ways to allow for the commercial production of the desired gene product. For example, large scale production of a specific gene product, overexpressed from a recombinant microbial host may be produced by both batch or continuous culture methodologies.

A classical batch culturing method is a closed system where the composition of the media is set at the beginning of the culture and not subject to artificial alterations during the culturing process. Thus, at the beginning of the culturing process the media is inoculated with the desired organism or organisms and growth or metabolic activity is permitted to occur adding nothing to the system. Typically, however, a "batch" culture is batch with respect to the addition of carbon source and attempts are often made at controlling factors such as pH and oxygen concentration. In batch systems the metabolite and biomass compositions of the system change constantly up to the time the culture is terminated. Within batch cultures cells moderate through a static lag phase to a high growth log phase and finally to a stationary phase where growth rate is diminished or halted. If untreated, cells in the stationary phase will eventually die. Cells in log phase are often responsible for the bulk of production of end product or intermediate in some systems. Stationary or post-exponential phase production can be obtained in other systems.

A variation on the standard batch system is the Fed-Batch system. Fed-Batch culture processes are also suitable in the present invention and comprise a typical batch system with the exception that the substrate is added in increments as the culture progresses. Fed-Batch systems are useful when catabolite repression is apt to inhibit the metabolism of the cells and where it is desirable to have limited amounts of substrate in the media. Measurement of the actual substrate concentration in Fed-Batch systems is difficult and is therefore estimated on the basis of the changes of measurable factors such as pH, dissolved oxygen and the partial pressure of waste gases such as CO<sub>2</sub>. Batch and Fed-Batch culturing methods are common and well known in the art and examples may be found in Thomas D. Brock in Biotechnology: A Textbook of Industrial

Microbiology, Second Edition (1989) Sinauer Associates, Inc.,  
Sunderland, MA., or Deshpande, Mukund V., *Appl. Biochem. Biotechnol.*,  
36, 227, (1992), herein incorporated by reference.

Commercial production of the instant proteins may also be  
5 accomplished with a continuous culture. Continuous cultures are an open  
system where a defined culture media is added continuously to a  
bioreactor and an equal amount of conditioned media is removed  
simultaneously for processing. Continuous cultures generally maintain the  
cells at a constant high liquid phase density where cells are primarily in  
10 log phase growth. Alternatively continuous culture may be practiced with  
immobilized cells where carbon and nutrients are continuously added, and  
valuable products, by-products or waste products are continuously  
removed from the cell mass. Cell immobilization may be performed using  
a wide range of solid supports composed of natural and/or synthetic  
15 materials.

Continuous or semi-continuous culture allows for the modulation of  
one factor or any number of factors that affect cell growth or end product  
concentration. For example, one method will maintain a limiting nutrient  
such as the carbon source or nitrogen level at a fixed rate and allow all  
20 other parameters to moderate. In other systems a number of factors  
affecting growth can be altered continuously while the cell concentration,  
measured by media turbidity, is kept constant. Continuous systems strive  
to maintain steady state growth conditions and thus the cell loss due to  
media being drawn off must be balanced against the cell growth rate in  
25 the culture. Methods of modulating nutrients and growth factors for  
continuous culture processes as well as techniques for maximizing the  
rate of product formation are well known in the art of industrial  
microbiology and a variety of methods are detailed by Brock, *supra*.

#### EXAMPLES

30 The present invention is further defined in the following Examples.  
It should be understood that these Examples, while indicating preferred  
embodiments of the invention, are given by way of illustration only. From  
the above discussion and these Examples, one skilled in the art can  
ascertain the essential characteristics of this invention, and without  
35 departing from the spirit and scope thereof, can make various changes  
and modifications of the invention to adapt it to various usages and  
conditions.

## GENERAL METHODS

Standard recombinant DNA and molecular cloning techniques used herein are well known in the art and are described by Sambrook, J., Fritsch, E. F. and Maniatis, T. *Molecular Cloning: A Laboratory Manual*; Cold Spring Harbor Laboratory Press: Cold Spring Harbor, (1989) (Maniatis) and by T. J. Silhavy, M. L. Bannan, and L. W. Enquist, *Experiments with Gene Fusions*, Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. (1984) and by Ausubel, F. M. et al., *Current Protocols in Molecular Biology*, pub. by Greene Publishing Assoc. and Wiley-Interscience (1987).

Materials and methods suitable for the maintenance and growth of bacterial cultures are well known in the art. Techniques suitable for use in the following examples may be found as set out in Manual of Methods for General Bacteriology (Phillipp Gerhardt, R. G. E. Murray, Ralph N. Costilow, Eugene W. Nester, Willis A. Wood, Noel R. Krieg and G. Briggs Phillips, eds), American Society for Microbiology, Washington, DC. (1994)) or by Thomas D. Brock in Biotechnology: A Textbook of Industrial Microbiology, Second Edition, Sinauer Associates, Inc., Sunderland, MA (1989). All reagents, restriction enzymes and materials used for the growth and maintenance of bacterial cells were obtained from Aldrich Chemicals (Milwaukee, WI), DIFCO Laboratories (Detroit, MI), GIBCO/BRL (Gaithersburg, MD), or Sigma Chemical Company (St. Louis, MO) unless otherwise specified.

Manipulations of genetic sequences were accomplished using the suite of programs available from the Genetics Computer Group Inc. (Wisconsin Package Version 9.0, Genetics Computer Group (GCG), Madison, WI). Where the GCG program "Pileup" was used the gap creation default value of 12, and the gap extension default value of 4 were used. Where the GCG "Gap" or "Bestfit" programs were used the default gap creation penalty of 50 and the default gap extension penalty of 3 were used. Multiple alignments were created using the FASTA program incorporating the Smith-Waterman algorithm (W. R. Pearson, *Comput. Methods Genome Res.*, [Proc. Int. Symp.] (1994), Meeting Date 1992, 111-20. Editor(s): Suhai, Sandor. Publisher: Plenum, New York, NY). In any case where program parameters were not prompted for, in these or any other programs, default values were used.

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The meaning of abbreviations is as follows: "h" means hour(s), "min" means minute(s), "sec" means second(s), "d" means day(s), "μL" means microliter(s), "mL" means milliliter(s), "L" means liter(s), "μM" means micromolar, "mM" means millimolar, "μg" means microgram(s),  
5 "mg" means milligram(s), "psi" means pounds per square inch, "ppm" means parts per million, "A" means adenine or adenosine, "T" means thymine or thymidine, "G" means guanine or guanosine, "C" means cytidine or cytosine, "x g" means times gravity, "nt" means nucleotide(s), "aa" means amino acid(s), "bp" means base pair(s), and "kb" means  
10 kilobase(s).

#### Isolation of *Rhodococcus erythropolis* AN12

The present *Rhodococcus erythropolis* AN12 strain was isolated from wastestream sludge as described below in Example 1.

#### Preparation of Genomic DNA for Sequencing and Sequence Generation

15 Genomic DNA was isolated from *Rhodococcus erythropolis* AN12 according to standard protocols.

Genomic DNA and library construction were prepared according to published protocols (Fraser et al The Minimal Gene Complement of *Mycoplasma genitalium*; *Science* 270, 1995). A cell pellet was  
20 resuspended in a solution containing 100 mM Na-EDTA pH 8.0, 10 mM Tris-HCl pH 8.0, 400 mM NaCl, and 50 mM MgCl<sub>2</sub>.

Genomic DNA preparation After resuspension, the cells were gently lysed in 10% SDS, and incubated for 30 minutes at 55°C. After incubation at room temperature, proteinase K (Boehringer Mannheim, Indianapolis, IN) was added to 100 μg/ml and incubated at 37°C until the  
25 suspension was clear. DNA was extracted twice with Tris-equilibrated phenol and twice with chloroform. DNA was precipitated in 70% ethanol and resuspended in a solution containing 10 mM Tris-HCl and 1 mM Na-EDTA (TE buffer) pH 7.5. The DNA solution was treated with a mix of  
30 RNAases, then extracted twice with Tris-equilibrated phenol and twice with chloroform. This was followed by precipitation in ethanol and resuspension in TE.

Library construction 200 to 500 μg of chromosomal DNA was resuspended in a solution of 300 mM sodium acetate, 10 mM Tris-HCl,  
35 1 mM Na-EDTA, and 30% glycerol, and sheared at 12 psi for 60 sec in an Aeromist Downdraft Nebulizer chamber (IBI Medical products, Chicago, IL). The DNA was precipitated, resuspended and treated with Bal31

nuclease (New England Biolabs, Beverly, MA). After size fractionation, a fraction (2.0 kb, or 5.0 kb) was excised, cleaned and a two-step ligation procedure was used to produce a high titer library with greater than 99% single inserts.

- 5        Sequencing A shotgun sequencing strategy approach was adopted for the sequencing of the whole microbial genome (Fleischmann, Robert et al Whole-Genome Random sequencing and assembly of *Haemophilus influenzae* Rd *Science* , 269:1995).

- 10        Sequence was generated on an ABI Automatic sequencer using dye terminator technology (US Patent 5,366,860; EP 272007) using a combination of vector and insert-specific primers. Sequence editing was performed in either Sequencher (Gene Codes Corporation., Ann Arbor, MI) or the Wisconsin GCG program (Wisconsin Package Version 9.0, Genetics Computer Group (GCG), Madison, WI) and the CONSED
- 15        package (version 7.0). All sequences represent coverage at least two times in both directions.

Identification and Characterization of repA coding regions

- 20        DNA encoding the repA protein was identified by conducting BLAST (Basic Local Alignment Search Tool; Altschul, S. F., et al., (1993) *J. Mol. Biol.* 215:403-410; see also [www.ncbi.nlm.nih.gov/BLAST/](http://www.ncbi.nlm.nih.gov/BLAST/)) searches for similarity to sequences contained in the BLAST "nr" database (comprising all non-redundant GenBank CDS translations, sequences derived from the 3-dimensional structure Brookhaven Protein Data Bank, the SWISS-PROT protein sequence database, EMBL, and DDBJ
- 25        databases). The sequences were analyzed for similarity to all publicly available DNA sequences contained in the "nr" database using the BLASTN algorithm provided by the National Center for Biotechnology Information (NCBI). The DNA sequences were translated in all reading frames and compared for similarity to all publicly available protein
- 30        sequences contained in the "nr" database using the BLASTX algorithm (Gish, W. and States, D. J. (1993) *Nature Genetics* 3:266-272) provided by the NCBI. All comparisons were done using either the BLASTNnr or BLASTXnr algorithm. The results of the BLAST comparison is given in Table 4 that summarizes the sequences to which they have the most
- 35        similarity. Table 4 displays data based on the BLASTXnr algorithm with values reported in expect values. The Expect value estimates the statistical significance of the match, specifying the number of matches,



with primers HK12 (5'-GAGTTTGATCCTGGCTCAG-3') (SEQ ID NO:9) and HK13 (5'-TACCTTGTTACGACTT-3') (SEQ ID NO:10). PCR was performed in a Perkin Elmer GeneAmp 9600. The samples were incubated for 5 minutes at 94°C and then cycled 35 times at 94°C for 30 seconds, 55°C for 1 minute, and 72°C for 1 minute. The amplified 16S rRNA genes were purified using a commercial kit according to the manufacturer's instructions (QIAquick PCR Purification Kit) and sequenced on an automated ABI sequencer. The sequencing reactions were initiated with primers HK12, HK13, and HK14 (5'-GTGCCAGCAGYMGCAGGT-3') (SEQ ID NO:11, where Y=C or T, M=A or C). The 16S rRNA gene sequence of each isolate was used as the query sequence for a BLAST search [Altschul, et al., *Nucleic Acids Res.* 25:3389-3402(1997)] of GenBank for similar sequences.

A 16S rRNA gene of strain AN12 was sequenced ( SEQ ID NO:12) and compared to other 16S rRNA sequences in the GenBank sequence database. The 16S rRNA gene sequence from strain AN12 was at least 98% homologous to the 16S rRNA gene sequences of high G + C Gram positive bacteria belonging to the genus *Rhodococcus*.

## EXAMPLE 2

### Isolation And Partial Sequencing Of Plasmid DNA From Strain AN12

The presence of small plasmid DNA in the *Rhodococcus* AN12 strain isolated as described in Example 1 was suggested by Applicants' observation of a low molecular weight DNA contamination in a genomic DNA preparation from AN12. Plasmid DNA was subsequently isolated from AN12 strain using a modified Qiagen plasmid purification protocol outlined as follows. AN12 was grown in 25 ml of NBYE medium (0.8% Nutrient Broth, 0.5% Yeast Extract and 0.05% Tween80) at 30°C for 24 hours. The cells were centrifuged at 3850 x g for 30 min. The cell pellet was washed with 50 mM sodium acetate (pH 5) and 50 mM sodium bicarbonate and KCl (pH 10). The cell pellet was then resuspended in 5 ml Qiagen P1 solution with 100 µg/ml RNaseA and 2 mg/ml lysozyme and incubated at 37°C for 30 min to ensure cell lysis. Five ml of Qiagen P2 and 7 ml of Qiagen N3 solutions were added to precipitate chromosomal DNA and proteins. Plasmid DNA was recovered by the addition of 12 ml of isopropanol. The DNA was washed and resuspended in 800 µl of water. This DNA was loaded onto a Qiagen miniprep spin column and washed twice with 500 µl PB buffer followed by one wash with

750 µl of PE buffer to further purify the DNA. The DNA was eluted with 100 µl of elution buffer. An aliquot of the DNA sample was examined on a 0.8% agarose gel and a small molecular weight DNA band was observed.

The DNA was then digested with a series of restriction enzymes and a restriction map of pAN12 is presented in Figure 1. While *Hind*III cleaves pAN12 at three sites (see Table 1), only the two larger bands were recovered for further analysis. These two *Hind*III generated bands, one of 1.7 kb and one of 4.4 kb, were excised from the agarose gel and cloned into the *Hind*III site of pUC19 vector. The ends of both inserts were sequenced from the pUC constructs using the M13 universal primer (-20; GTAAAACGACGGCCAGT) (SEQ ID NO:13) and the M13 reverse primer (-48; AGCGGATAACAATTTTCACACAGGA) (SEQ ID NO:14). Consensus sequences were obtained from the sequencing of two clones of each insert and comprise the nucleotide sequences as set forth in SEQ ID NOs:15-17. Sequence obtained from one end of the 4.4 kb insert was poor and is not shown. The *Hind*III recognition site is highlighted in bold and underlined in SEQ ID NOs:15-17.

### EXAMPLE 3

#### Complete Sequencing And Confirmation Of A Cryptic Plasmid In Strain AN12

The sequences generated from the two *Hind*III fragments of the plasmid DNA were used to search the DuPont internal AN12 genome database. All three sequences had 100% match with regions of contig 2197 from assembly 4 of AN12 genomic sequences. Contig 2197 was 6334 bp in length. There were randomly sequenced clones in the database spanning both ends of contig 2197, indicating that this is a circular piece of DNA. Applicants have designated the 6334 bp circular plasmid from strain AN12 as pAN12. The complete nucleotide sequence of pAN12 designating the unique *Ssp*I site as the position 1 and is set forth in SEQ ID NO:5. One end of the 1.7 kb *Hind*III insert (SEQ ID NO:15) matched with the 6313-5592 bp region of the complement strand of pAN12 sequence (SEQ ID NO:5). Another end of the 1.7 kb *Hind*III insert (SEQ ID NO:16) matched with the 4611-5133 bp region of pAN12 sequence (SEQ ID NO:5). One end of the 4.4 kb *Hind*III insert (SEQ ID NO:17) matched with the 4616-4011 bp region of the complement strand of pAN12 sequence (SEQ ID NO:5). Three *Hind*III restriction sites were predicted to be on the pAN12 plasmid based on the complete sequence.



Three restriction fragments generated from *Hind*III digest should be in sizes as 4550 bp, 1687 bp and 87 bp. The 4.4 kb and 1.7 kb bands Applicants observed on the gel matched well with the predicated 4550 bp and 1687 bp fragments. The 87 bp fragment would not be easily detected on a 0.8% agarose gel. The copy number of the pAN12 plasmid was estimated to be around 10 copies per cell, based on the statistics that contig 2197 was sequenced at 80x coverage comparing to average about 8x coverage of other contigs representing chromosomal sequences.

BLASTX analysis showed that two open reading frames (ORFs) encoded on pAN12 shared some homology with proteins in the “nr” database (comprising all non-redundant GenBank CDS translations, sequences derived from the 3-dimensional structure Brookhaven Protein Data Bank, SWISS-PROT protein sequence database, EMBL, and DDBJ databases). One ORF (designated *rep*) at the complement strand of nucleotides 3052-1912 of SEQ ID NO:5 showed the greatest homology to replication protein of plasmid pAP1 from *Arcanobacterium pyogenes* (Billington, S. J. et al, *J. Bacteriol.* 180, 3233-3236, 1998). The second ORF (designated *div*) at the complement strand of nucleotides 5179-4288 of SEQ ID NO:5 showed the greatest homology to a putative cell division protein from *Haemophilus influenzae* identified by genomic sequencing (Fleischmann et al., *Science* 269 (5223), 496-512 (1995). The *rep* nucleic acid (SEQ ID NO:1) on pAN12 is predicted to encode a Rep protein of 379 amino acids in length (SEQ ID NO:2). It shares a 51% identity and a 35% similarity to the 459 amino acid Rep protein from *Arcanobacterium* (see Table 4). The *div* nucleic acid (SEQ ID NO:3) on pAN12 is predicted to encode a Div protein of 296 amino acids in length (SEQ ID NO:4). It shares only a 24% identity and a 40% similarity to the internal portion of the 529 amino acid putative cell division protein from *Haemophilus* (see Table 4).

**TABLE 4:** BLASTX analysis of the two pAN12 open reading frames (ORFs)

ORF	Similarity Identified	% Identity <sup>a</sup>	% Similarity <sup>b</sup>	E-value <sup>c</sup>	Citation
rep	Gb AAC46399.1  (U83788) Replication protein [ <i>Arcanobacterium pyogeness</i> ]	35	51	e-59	Billington et al <i>J. Bacteriol.</i> 180 (12), 3233-3236 (1998)
div	sp P45264  (U32833) Cell division protein <i>ftsK</i> homolog [ <i>Haemophilus influenzae</i> ]	24	40	2e-4	Fleischmann et al <i>Science</i> 269 (5223), 496-512 (1995)

<sup>a</sup>%Identity is defined as percentage of amino acids that are identical between the two proteins.

<sup>b</sup>% Similarity is defined as percentage of amino acids that are identical or conserved between the two proteins.

<sup>c</sup>Expect value. The Expect value estimates the statistical significance of the match, specifying the number of matches, with a given score, that are expected in a search of a database of this size absolutely by chance.

#### EXAMPLE 4

##### Construction Of An *Escherichia Coli*-*Rhodococcus* Shuttle Vector With The Cryptic Pan12 Plasmid

An *E. coli*-*Rhodococcus* shuttle vector requires a set of replication function and antibiotic resistance markers that functions both in *E. coli* and in *Rhodococcus*. Applicants have identified a cryptic pAN12 plasmid which encodes the replication function for *Rhodococcus*. To identify an antibiotic resistance marker for *Rhodococcus*. The on *E. coli* plasmid pBR328 (ATCC 37517) was tested to see whether it would function in *Rhodococcus*. Plasmid pBR328 carries ampicillin, chloramphenicol and tetracycline resistance markers that function in *E. coli*. pBR328 was linearized with *PvuII* which disrupted the chloramphenicol resistance gene and ligated with pAN12 digested with *SspI*. The resulting clone was designated pRhBR17 (SEQ ID NO:6).

pRhBR17 was confirmed to be ampicillin resistant, chloramphenicol sensitive and tetracycline resistant in *E. coli*. DNA of pRhBR17 was prepared from *E. coli* DH10B (GIBCO, Rockville, MD) and electroporated into *Rhodococcus erythropolis* (ATCC 47072) which does not contain the pAN12 plasmid. The electrocompetent cells of ATCC 47072 were prepared as follows:

ATCC 47072 was grown in NBYE (0.8% nutrient broth and 0.5% yeast extract) + Tween 80 (0.05%) medium at 30°C with aeration to an

OD600 of about 1.0. Cells were cooled at 4°C for more than 30 minutes before they were pelleted by centrifugation. Pellets were washed with ice cold sterile water three times and ice cold sterile 10% glycerol twice and resuspended in 10% glycerol as aliquots for quick freeze. Electroporation was performed with 50 µl of competent cells mixed with 0.2-2 µg of plasmid DNA. The electroporation setting used was similar to *E. coli* electroporation: 200 ohms, 25 µF and 2.5 kV for 0.2 cm gap cuvette. After an electroporation pulse, 0.5-1 mL of NBYE medium was immediately added and cells were recovered on ice for at least 5 minutes. The transformed cells were incubated at 30°C for 4 hours to express the antibiotic resistance marker and plated on NBYE plates with 5 µg/ml of tetracycline. Tetracycline resistance transformants were obtained when ATCC 47072 was transformed with pRhBR17. No tetracycline resistant colony was obtained for mock transformation of ATCC 47072 with sterile water. The results suggested that the tetracycline resistance marker on pBR328 functioned in *Rhodococcus* and the plasmid pRhBR17 was able to shuttle between *E. coli* and *Rhodococcus*. The transformation frequency was about 10<sup>6</sup> colony forming units (cfu)/µg of DNA for ATCC 47072. The shuttle plasmids were also able to transform the AN12 strain containing the indigenous pAN12 cryptic plasmid at about 10-fold lower frequency.

#### EXAMPLE 5

##### pAN12 Replicon Is Compatible With Nocardiphage Q4 Replicon Of pDA71

The replicon is a genetic element that behaves as an autonomous unit during replication. To identify and confirm the essential elements such as the replication protein and origin of replication that define the function of the pAN12 replicon, the pAN12 sequence was further examined by multiple sequence alignment with other plasmids. Although Rep of pAN12 had only 35% overall amino acid identity to Rep of *Arcanobacterium* plasmid pAP1, five motifs were identified in pAN12 Rep that are conserved in the pIJ101/pJV1 family of rolling circle replication plasmids including pAP1 (Ilyina, T. V. et al *Nucleic Acids Research*, 20:3279-3285; Billington, S. J. et al, *J. Bacteriol.* 180, 3233-3236, 1998) through ClustalW multiple sequence alignment ( Figure 4A). Some of the other members in this family of plasmids include pIJ101 from *Streptomyces lividans* (Kendall, K. J. et al, *J. Bacteriol.* 170:4634-4651, 1988), pJV1 from *Streptomyces phaeochromogenes* (Servin-Gonzalez, L.

*Plasmid*. 30:131-140, 1993; Servin-Gonzalez, L. *Microbiology*.

141:2499-2510, 1995) and pSN22 from *Streptomyces nigrifaciens*

(Kataoka, M. et al. *Plasmid*. 32:55-69, 1994). The numbers in Figure 4A

indicate the starting amino acid for each motif within the Rep. Also

5 identified were the putative origin of replication (Khan, S. A. *Microbiol. and Mol. Biology Reviews*. 61:442-455, 1997) in pAN12 through multiple

sequence alignment (Figure 4B). The numbers in Figure 4B indicate the positions of the first nucleotide on the plasmid for the origins of replication.

The origins of replication in pIJ101, pJV1 and pSN22 have been

10 previously confirmed experimentally (Servin-Gonzalez, L. *Plasmid*.

30:131-140, 1993; Suzuki, I. et al., *FEMS Microbiol. Lett.* 150:283-288,

1997). The GG dinucleotides at the position of the nick site where the replication initiates are also conserved in pAN12.

The pAN12 replicon was found to be compatible with at least one

15 other *Rhodococcus* replicon Q4 derived from nocardiphage (Dabbs, 1990, *Plasmid* 23:242-247). pDA71 is a *E. coli-Rhodococcus* shuttle

plasmid constructed based on the nocardiphage Q4 replicon and carries a chloramphenicol resistance marker that expresses in *Rhodococcus*

(ATCC 77474, Dabbs, 1993, *Plasmid* 29:74-79). Transformation of

20 pDA71 into *Rhodococcus erythropolis* strain AN12 and subsequent

plasmid DNA isolation from the transformants indicated that the chloramphenicol resistant pDA71 plasmid (~9 kb) coexisted with the

6.3 kb indigenous pAN12 plasmid in AN12 strain. Additionally the order of the plasmid introduction into the host was reversed. The

25 chloramphenicol resistant pDA71 was first introduced into the plasmid free *Rhodococcus erythropolis* strain ATCC 47072. Competent cells were prepared from a chloramphenicol resistant transformant of

ATCC 47072(pDA71) and then transformed with the tetracycline resistant pRhBR17 shuttle plasmid constructed based on the pAN12 replicon

30 (Example 4). Transformants of both chloramphenicol and tetracycline

resistance were isolated, suggesting both pDA71 and pRhBR17 were

maintained in the ATCC 47072 host. The compatibility of pAN12 replicon with the nocardiphage Q4 replicon could be exploited for co-expression

of different genes in a single *Rhodococcus* host using shuttle plasmids

35 derived from pAN12 replicon such as pRhBR17 and shuttle plasmids

derived from the nocardiphage Q4 replicon such as pDA71.

## EXAMPLE 6

### Rep On pAN12 Is Essential For Shuttle Vector Function

The previous examples demonstrated that pAN12 provides the replication function in *Rhodococcus* for the constructed shuttle plasmid.

- 5 To characterize the essential region of pAN12 for shuttle plasmid function, Applicants performed *in vitro* transposon mutagenesis of the shuttle plasmids, pRhBR17, using the GPS-1 genome priming system from New England Biolabs (Beverly, MA). The *in vitro* transposition reaction was performed following manufacturer's instructions. The resulting transposon
- 10 insertions of pRhBR17 were transformed into *E. coli* DH10B (GIBCO, Rockville, MD) and kanamycin resistant colonies were selected by plating on LB agar plates comprising 25 µg/ml of kanamycin. Transposon insertions in the ampicillin resistance and tetracycline resistance genes were screened out by sensitivity to ampicillin and tetracycline,
- 15 respectively. Plasmid DNA from 34 of the ampicillin resistant, tetracycline resistant and kanamycin resistant colonies were purified and the insertion sites were mapped by sequencing using the Primer N (ACTTTATTGTCATAGTTTAGATCTATTTTG; SEQ ID NO:18) complementary to the right end of the transposon. Applicants also tested
- 20 the ability of the shuttle plasmids comprising the transposon insertions to transform *Rhodococcus* ATCC 47072 . Table 5 summarizes the data of insertion mapping and transformation ability. The insertion site on Table 5 refers to the base pair (bp) numbering on the shuttle plasmid pRhBR17 (SEQ ID NO:6), which uses the position 1 of pBR328 as the position 1 of the shuttle plasmid. High quality junction sequence was obtained for most
- 25 of the insertions so that the exact location of the transposon insertions could be identified on the plasmids. In clones 17, 33 and 37, the sequence of the transposon ends could not be identified to map the exact insertion sites.

30

TABLE 5: Transposon insertion mapping of pRhBR17 and the effects on transformation of *Rhodococcus* ATCC 47072

Clone number	Site inserted	Strand inserted	Gene inserted	Transformation ability
pRhBR17	No insertion	N/A	N/A	+++
30, 31	2092 bp	Forward	pBR328	+++
26,27	3120 bp	Reverse	pBR328	ND
29	3468 bp	Reverse	pBR328	ND
24	3625 bp	Reverse	pAN12	+++
2	4030 bp	Reverse	pAN12	+++
38, 39	4114 bp	Forward	pAN12	+++
20	4442 bp	Reverse	pAN12	+++
1	4545 bp	Reverse	pAN12	+++
35	4568 bp	Forward	pAN12	+++
13	4586 bp	Forward	pAN12	+
17, 33	<4920 bp	Forward	pAN12	+
7	5546 bp	Forward	pAN12 rep	+
11	5739 bp	Reverse	pAN12 rep	-
12	5773 bp	Forward	pAN12 rep	-
16	5831 bp	Forward	pAN12 rep	-
5	5883 bp	Reverse	pAN12 rep	-
9	6050 bp	Reverse	pAN12 rep	-
28	6283 bp	Forward	pAN12 rep	-
6	6743 bp	Reverse	pAN12	-
37	<6935 bp	Forward	pAN12	+++
32	6965 bp	Forward	pAN12	+++
15	6979 bp	Forward	pAN12	+
3	7285 bp	Reverse	pAN12	+++
4	7811 bp	Reverse	pAN12	+++
22, 23	8274 bp	Forward	pAN12 div	+++
21	8355 bp	Forward	pAN12 div	+++
18	8619 bp	Reverse	pAN12 div	+++
10	10322 bp	Reverse	pBR328	+++
36	11030 bp	Forward	pBR328	ND

+++ the transformation frequency was comparable to that of the wild type plasmid.

+ the transformation frequency decreased about 100 fold.

- the transformation frequency was zero.

ND the transformation frequency was not determined.

- 10 Transposon insertions at most sites of the shuttle plasmid did not abolish the ability of the plasmids to transform *Rhodococcus* ATCC 47072. The insertions that abolished the shuttle plasmid function were clustered at the rep region. Clones 5, 9, 11, 12, 16, and 28 all contained transposon insertions that mapped within the *rep* gene of
- 15 pAN12. These mutant plasmids were no longer able to transform

*Rhodococcus* ATCC 47072. Clone 6 contained an insertion at 6743 bp, which is 100 bp upstream of the start codon (6642 bp) of the Rep region. This insertion also disrupted the shuttle plasmid function since it most likely interrupted the transcription of the *rep* promoter. Clone 7 contained an insertion at 5546 bp, which is very close to the C terminal end (5502 bp) of the Rep region. The transformation frequency of this plasmid was decreased by at least 100 fold. This is likely due to the residual activity of the truncated Rep which was missing 14 amino acids at the C terminal end because of the transposon insertion. In summary, the data indicated that the Rep region at the complement strand of nucleotides 3052-1912 of pAN12 (SEQ ID NO:5) was essential for shuttle plasmid function in *Rhodococcus*.

#### EXAMPLE 7

##### Div On pAN12 Is Involved In Maintaining Plasmid Stability

The transposon insertions within the *div* gene of pAN12 did not affect the ability of the shuttle plasmid to transform *Rhodococcus*. To determine if the putative cell division protein encoded by *div* played a role in cell division particularly plasmid partition, plasmid stability of *Rhodococcus* strain AN12 or ATCC 47072 comprising a pRhBR17 plasmid with different insertions was examined. After propagating the cells in NBYE + Tween80 medium with and without antibiotic selection (tetracycline at 10µg/ml) for about 30 generations, dilutions ( $10^{-4}$ ,  $10^{-5}$  and  $10^{-6}$ ) of cells were plated out on LB plates. Colonies grown on the nonselective LB plates were subsequently patched onto a set of LB and LB + tetracycline plates. Two hundred colonies of each were scored for tetracycline sensitivity. Representatives of the tetracycline sensitive cells were also examined to confirm the loss of the plasmid by PCR and plasmid isolation. The primers for PCR were designed based on the rep gene sequence of pAN12. A 1.1 kb PCR fragment could be obtained with Rep1 primer: 5'-ACTTGCGAACCGATATTATC-3' (SEQ ID NO:19) and Rep2 primer: 5'-TTATGACCAGCGTAAGTGCT-3' (SEQ ID NO:20) if the pAN12-based shuttle plasmid was present in the cell to serve as the template. The percentage of the plasmid maintained after 30 generations is summarized in Table 6. The wild type pRhBR17 plasmid was very stable in AN12 and slightly less stable in ATCC 47072. Clone #15 contained an insertion at the upstream region of the rep on pRhBR17 (Table 5) and showed slightly decreased stability in both AN12 and ATCC

47072 comparable to that of the wild type plasmid. Both the wild type pRhBR17 plasmid and the plasmid with insertion #15 were maintained 100% in the presence of the tetracycline selection in both *Rhodococcus* strains. In contrast, clone #23 contained an insertion that disrupted the putative cell division protein div and showed decreased plasmid stability. Loss of plasmid was observed even in the presence of the tetracycline selection. The stability was affected more in ATCC 47072 than in AN12. These results suggest that the putative cell division protein on pAN12 regulates plasmid partitioning during cell division and is important for maintaining plasmid stability.

**TABLE 6** Plasmid stability in *Rhodococcus* strains after 30 generations

	AN12 without selection	AN12 with selection	ATCC 47072 without selection	ATCC 47042 with selection
WT pRhBR17	100%	100%	96.5%	100%
Insertion #15	93%%	100%	93%	100%
Insertion #23	74%	97%	8.5%	77.5%

### EXAMPLE 8

#### Construction Of pRHBR171 Shuttle Vector Of Smaller Size

Transposon mutagenesis of the shuttle plasmid pRhBR17 suggested that certain regions of the shuttle plasmid may not be essential for the plasmid function (TABLE 5). One of the regions was at the junction of pBR328 and pAN12. It was decided to examine whether this region of the plasmid was dispensable and if the size of the shuttle plasmid could be trimmed. Shuttle plasmid pRhBR17 was digested with *Pst* I (2 sites/ 2520, 3700 bp) and *mlu* I (1 site/4105 bp), yielding three fragments of the following sizes: 9656, 1180 and 405 bp. The digested DNA fragments were blunted with mung bean nuclease (New England Biolabs, Beverly, MA) following manufacturer's instruction. The largest 9.7 kb fragment was separated by size on an agarose gel, and purified using QIAEX II Gel Extraction Kit (Qiagen Inc., Valencia, CA). This 9.7 kb DNA fragment with deletion of region 2520-4105 bp of pRhBR17 was self-ligated to form a circular plasmid designated pRhBR171 (Figure 3). Plasmid isolation from the *E. coli* DH10B transformants and restriction enzyme characterization



showed the correct size and digest pattern of pRhBR171. *E. coli* cells harboring the pRhBR171 plasmid lost the ability to grow in the presence of ampicillin (100 µg/ml), since the *Pst* I and *Mlu* I digest removed part of the coding region for the ampicillin resistant gene on the parental plasmid.

- 5 The tetracycline resistance gene on pRhBR171 served as the selection marker for both *E. coli* and *Rhodococcus*. Transformation of pRhBR171 to *Rhodococcus* was tested. It transformed competent *Rhodococcus erythropolis* ATCC 47072 and AN12 cells with similar frequency by electroporation as compared with its parent plasmid pRhBR17. These  
10 results demonstrate that this region (2520-4105 bp) of pRhBR17 was not essential as suggested by transposon mutagenesis. It also provided a smaller shuttle vector that is more convenient for cloning.

#### EXAMPLE 9

##### Increased Carotenoid Production With Multicopy Expression of Dxs on pRhBR171

- 15 The *dxs* gene encodes 1-deoxyxylulose-5-phosphate synthase that catalyzes the first step of the synthesis of 1-deoxyxylulose-5-phosphate from glyceraldehyde-3-phosphate and pyruvate precursors in the isoprenoid pathway for carotenoid synthesis. The putative *dxs* gene from  
20 AN12 was expressed on the multicopy shuttle vector pRhBR171 and the effect of *dxs* expression on carotenoid expression was evaluated.

- The *dxs* gene with its native promoter was amplified from the *Rhodococcus* AN12 strain by PCR. Two upstream primers, New *dxs* 5' primer: 5'-ATT TCG TTG AAC GGC TCG CC-3' (SEQ ID NO:28) and  
25 New2 *dxs* 5' primer: 5'-CGG CAA TCC GAC CTC TAC CA-3' (SEQ ID NO:29), were designed to include the native promoter region of *dxs* with different lengths. The downstream primer, New *dxs* 3' primer: 5'-TGA GAC GAG CCG TCA GCC TT-3 (SEQ ID NO:30)' included the underlined stop codon of the *dxs* gene. PCR amplification of AN12 total DNA using  
30 New *dxs* 5' + New *dxs* 3' yielded one product of 2519 bp in size, which included the full length AN12 *dxs* coding region and about 500 bp of immediate upstream region (nt. #500 - #3019). When using New2 *dxs* 5' + New *dxs* 3' primer pair, the PCR product is 2985 bp in size, including the complete AN12 *dxs* gene and about 1 kb upstream region (nt. #34 -  
35 #3019). Both PCR products were cloned in the pCR2.1-TOPO cloning vector according to manufacturer's instruction (Invitrogen, Carlsbad, CA). Resulting clones were screened and sequenced. The confirmed plasmids

were digested with *EcoRI* and the 2.5 kb and 3.0 kb fragments containing the *dxs* gene and the upstream region from each plasmid were treated with the Klenow enzyme and cloned into the unique *Ssp I* site of the *E. coli* – *Rhodococcus* shuttle plasmid pRhBR171. The resulting constructs pDCQ22 (clones #4 and #7) and pDCQ23 (clones #10 and #11) were electroporated into *Rhodococcus erythropolis* ATCC 47072 with tetracycline 10 µg/ml selection.

The pigment of the *Rhodococcus* transformants of pDCQ22 and pDCQ23 appeared darker as compared with those transformed with the vector control. To quantify the carotenoid production of each *Rhodococcus* strain, 1 ml of fresh cultured cells were added to 200 ml fresh LB medium with 0.05% Tween-80 and 10 µg/ml tetracycline, and grown at 30°C for 3 days to stationary phase. Cells were pelleted by centrifugation at 4000 g for 15 min and the wet weight was measured for each cell pellet. Carotenoids were extracted from the cell pellet into 10 ml acetone overnight with shaking and quantitated at the absorbance maximum (465nm). 465nm is the diagnostic absorbance peak for the carotenoid isolated from *Rhodococcus* sp. ATCC 47072. The absorption data was used to calculate the amount of carotenoid produced, calculated and normalized in each strain based either on the cell paste weight or the cell density (OD600). Carotenoid production calculated by either method showed about 1.6-fold increase in ATCC47072 with pDCQ22, which contained the *dxs* gene with the shorter promoter region.

Carotenoid production increased even more (2.2-fold) when the *dxs* gene was expressed with the longer promoter region. It is likely that the 1 kb upstream DNA contains the promoter and some elements for enhancement of the expression. HPLC analysis also verified that the same carotenoids were produced in the *dxs* expression strain as those of the wild type strain.

Table 2. Carotenoids production by *Rhodococcus* strains.

Strain	OD600	weight (g)	OD465	% <sup>a</sup>	% (wt) <sup>b</sup>	% (OD600) <sup>c</sup>	% (avg) <sup>d</sup>
ATCC 47072 (pRhBR171)	1.992	2.82	0.41	100	100	100	100
ATCC (pDCQ22)#4	1.93	2.9	0.642	157	161	152	156
ATCC (pDCQ22)#7	1.922	2.76	0.664	162	159	156	157
ATCC (pDCQ23)#10	1.99	2.58	0.958	234	214	233	224
ATCC (pDCQ23)#11	1.994	2.56	0.979	239	217	239	228

<sup>a</sup> % of carotenoid production based on OD465nm.  
<sup>b</sup> % of carotenoid production (OD465nm) normalized with wet cell paste weight.  
<sup>c</sup> % of carotenoid production (OD465nm) normalized with cell density (OD600nm).  
<sup>d</sup> % of carotenoid production (OD465nm) averaged from the normalizations with wet cell paste weight and cell density.